2	The Low Resolution CCSM4
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#### Abstract

17 The low resolution version of the Community Climate System Model version 4 (CCSM4) is a computationally efficient alternative to the intermediate and standard resolution 18 19 versions of this fully coupled climate system model. It employs an atmospheric horizontal grid of 3.75°x3.75° with 26 levels in the vertical with a spectral dynamical core 20 (T31), and an oceanic horizontal grid that consists of a nominal  $3^{\circ}$  resolution with the 60 21 levels in the vertical. This low resolution version (T31x3) can be used for a variety of 22 23 applications including long equilibrium simulations, development work, and sensitivity studies. The T31x3 model is validated for modern conditions by comparing to available 24 observations. Significant problems exist for Northern Hemisphere Arctic locales where 25 26 sea ice extent and thickness are excessive. This is, in part, due to low heat transport in T31x3 which translates into a globally averaged sea surface temperature (SST) bias of -27 1.54°C compared to observational estimates from the 1870-1899 historical record, and a 28 bias of -1.26°C compared to observations from the 1986-2005 historical record. 29 30 Maximum zonal wind stress magnitude in the Southern Hemisphere closely matches observational estimates over the ocean, although its placement, a reflection of the 31 32 Southern Westerlies, is incorrectly displaced equatorward. Aspects of climate variability 33 in the T31x3 compare to observed variability, especially so for ENSO where the 34 amplitude and period approximate observations. T31x3 surface temperature anomaly trends for the 20<sup>th</sup> century also closely follow observations. An examination of the T31x3 35 model relative to the intermediate CCSM4 resolution (finite volume dynamical core 1.9° 36

37 x 2.5°) for pre-industrial conditions shows the T31x3 model to approximate this solution

- 38 for climate state and variability metrics examined here.

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#### 54 1. Introduction

As global climate models become increasingly sophisticated, so does the need for 55 56 computing power and resources. Historically, the Community Climate System Model (CCSM) modeling community has supported several resolutions, including a 57 computationally efficient lower resolution version designed for applications requiring 58 59 long integrations. (Boville and Gent, 1998; Otto-Bliesner et al 2002; Yeager et al 2006). The latest CCSM release, (CCSM4), is no different. This paper presents a low resolution 60 CCSM4 as an alternative to the higher resolution versions and highlights both its 61 strengths and weaknesses in comparison with observations and other CCSM4 resolution 62 63 versions.

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CCSM4 contains several notable improvements spanning all model components which 65 66 include a much improved El Nino/Southern Oscillation (ENSO) representation, improved 67 ocean mixing, a new land carbon-nitrogen (CN) component, more realistic ice albedos, 68 and new coupling infrastructure. The low resolution CCSM4 (henceforth called T31x3) 69 uses a T31 spectral dynamical core for the atmospheric and land components (horizontal grid of 3.75° x 3.75°) with 26 atmospheric layers in the vertical. The ocean 70 71 and ice components employ a nominal 3° irregular horizontal grid (referred to as x3) 72 with 60 ocean layers in the vertical. The intermediate resolution CCSM4 utilizes the finite-volume (FV) dynamical core (Lin 2004) with a nominal 2° atmosphere and land 73 horizontal grid (1.9° x 2.5° latitude versus longitude) with 26 atmospheric layers in the 74

75	vertical, and a nominal 1° ocean and ice horizontal grid (referred to as x1) with 60 ocean
76	layers in the vertical, (henceforth called FV2x1). The standard CCSM4 resolution applies
77	the finite volume dynamical core with a 1° atmosphere ( $0.9^{\circ}x1.25^{\circ}$ latitude versus
78	longitude) with the same number of vertical levels coupled to the x1 ocean and ice
79	models, (henceforth called FV1x1). Further details on CCSM4 model improvements and
80	specifics about the higher resolution simulations can be found in Gent el al. (2011).

As indicated above, the low resolution version of CCSM4 uses a spectral dynamical core 82 rather than the finite volume dynamical core used by other CCSM4 resolutions. 83 Jablonowski and Williamson (2006) found that a low resolution finite volume version ( $4^{\circ}$ 84  $x 5^{\circ}$ , latitude versus longitude) was too coarse to resolve baroclinic eddies and thus a 85 major barrier to tropospheric climate studies. The minimum resolution necessary to 86 sufficiently simulate storm systems with the finite volume dynamical core is found to be 87  $2.5^{\circ}x3.3^{\circ}$  at nearly double the cost compared to T31 (Lauritzen, pers. communication). 88 89 Although the finite volume dynamical core may be optimal for tracer transport 90 applications, including chemistry, given the need to provide the community with a 91 computationally affordable alternative, the T31 spectral dynamical core was chosen for 92 the atmosphere.

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There are a number of physics differences in the T31x3 version of the model compared
to the other CCSM4 resolutions. Minor cloud parametric changes (necessary to achieve

96 radiative balance) are applied to the atmospheric component as well as and the 97 inclusion of a turbulent mountain stress (TMS) parameterization. Ice albedo and some 98 ocean parameter settings are changed in the ice and ocean components, respectively. In 99 the land component, the ice runoff parameterization is not used. Here we present an 100 overview of the simulations for each model component as well as review of the impact 101 of resolution. Although all three CCSM4 resolutions are discussed in section 4, the majority of the paper places emphasis on the comparison between the low and the 102 103 intermediate resolutions of the model and comparisons to observation (section 5). 104 Model variability, in particular, ENSO, Northern Annular Mode (NAM), and Southern 105 Annular Mode (SAM) are presented in section 6 along with a brief word on climate 106 sensitivity in section 7. Finally, computational model performance statistics are presented to highlight the major cost savings associated with T31x3. This paper is not 107 108 intended to be a comprehensive paper documenting all aspects of the T31x3 (or the 109 FV2x1) simulation. Rather, it simply shows that the T31x3 is an alternative to the more costly FV2x1 by presenting a sample of basic climate state and variability metrics in 110 111 comparison with available observations.

112

#### 113 **2.** Model Description and Physics Differences from standard CCSM4

114 CCSM4 is a fully coupled, global climate model consisting of atmosphere, land, ocean,
 and sea ice components as well as a coupler that passes state and flux information
 116 between the model components. A detailed description of the modeling system and

each model component can be found in the J. Climate CCSM4 Special Issue Collection
and include papers by Gent et al. (2011) (overview), Neale et al. (2011), (atmosphere),
Lawrence et al. (2011) (land), Danabasoglu et al. (2011) (ocean), and Holland et al.
(2011) and Jahn et al. (2011) (ice).

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122 The atmosphere component is the Community Atmosphere Model Version 4 (CAM4) 123 (Neale et al. 2011). Aside from the dynamical core differences discussed in the 124 introduction, another important difference between the T31x3 and all other CCSM4 resolutions is the inclusion of the TMS (turbulent mountain stress) parameterization in 125 126 CAM4 which significantly improves the coupled atmosphere-ocean interactions. TMS improves ocean surface stress in the low resolution model and will be discussed further 127 128 in sections 4 and 5. Although TMS was available in earlier versions of CAM, up until now, 129 it has only been invoked within the Whole Atmosphere Community Climate Model 130 (WACCM). TMS in WACCM was applied to better handle gravity waves in the upper 131 atmosphere by improving the representation of sub grid-scale mountain ranges. Following the treatment of regular surface stress, CCSM4 assumes that the topography-132 133 induced roughness length is proportional to the standard deviation of sub-grid 134 topographic heights within the grid. The resulting neutral drag coefficient decreases linearly with stability. In the stable regime, drag coefficient is down to zero where 135 136 gradient Richardson number in the two lowest model layers is 1. Details about the 137 origin of TMS parameterizations can be found in Klinker and Sardeshmukh (1992) and

138 Milton and Wilson (1996). Another difference between the T31 version of CAM4 and 139 the standard CAM4 is the adjustment of cloud processes parameters. The relative 140 humidity and autoconversion thresholds were slightly modified to achieve radiative top-141 of-atmosphere (TOA) balance for pre-industrial conditions. Altering properties in the 142 cloud parameterization scheme has been well documented in previous versions of 143 CCSM (Yeager et al. 2006; Williamson 1995; Hack et al. 2006) and continues to remain 144 valid for CAM4. All resolution versions of CCSM4 include cloud parameter adjustments 145 to achieve TOA balance and minimize drift in the coupled model.

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147 The land component of CCSM4 is the Community Land Model version 4 (CLM4; 148 Lawrence et al. 2011). Although there are no resolution-dependent physics differences, 149 the T31x3 version of CCSM4 does not account for the latent heat flux associated with 150 snow and ice melt into liquid runoff. This is in contrast with the other resolution CCSM4 151 versions where the liquid and ice runoff amounts are kept track separately and the 152 ocean model loses heat during the phase conversion from ice to liquid runoff. For the x3 ocean resolution, this approach created a problem in some isolated regions, e.g., the 153 154 Baffin Bay region, where the ocean model continuously gets ice runoff and therefore 155 continuously loses heat at the surface. Without adequate advective transport due to the coarse model grid, these cold regions stay local, leading to continuous ice formation 156 with rather thick ice. Therefore, we chose to attain a more reasonable ice thickness at 157 158 the expense of energy conservation in the released T31x3 model. The total runoff is

always accounted for regardless of how ice runoff is treated. We also note that no CCSM
version takes into account the temperature of the runoff water.

162	The CCSM4 ocean component is the Parallel Ocean Program version 2 (POP2; Smith et
163	al. 2010, Danabasoglu et al. 2011). The coarse resolution configuration presented here
164	uses the same nominal $3^{\circ}$ horizontal grid described in Yeager et al. (2006) for CCSM3.
165	However, the number of vertical levels has been increased from 25 levels in CCSM3 to
166	60 levels in the present x3 configuration. The associated vertical grid spacing is the same
167	as the one employed in the x1 ocean model used in the standard CCSM4 version, thus
168	allowing us to use the same prescriptions for the vertical mixing coefficients in all
169	resolution versions. Due to this change in the vertical resolution, the discrete bottom
170	topography was recreated using a smooth (one pass of a 9-point Gaussian filter) version
171	of the 2-minute gridded global relief data (ETOPO2v2 2006). As in the x1 version, the
172	minimum and maximum ocean depths were set to 30 and 5500 m, respectively, and
173	isolated holes were eliminated. Additional changes were then incorporated. These
174	include the Denmark Strait, Faroe Bank Channel, Weddell Sea, and Ross Sea overflow
175	regions to accommodate the numerical requirements of an overflow parameterization
176	as discussed in Danabasoglu et al. (2011). Furthermore, the Samoa Passage was
177	widened to improve deep ventilation in the Pacific basin. Also, as in the x1 CCSM4
178	configuration, but in contrast with the x3 CCSM3, the Gibraltar Strait was opened with a

179 cliff-topography to its immediate west to explicitly allow the Mediterranean overflow180 into the Atlantic basin.

181

182	All the new physics developments and changes described in Danabasoglu et al. (2011)
183	are used in the x3 configuration with the following differences from the x1
184	configuration: the upper-ocean lateral tracer diffusivity coefficients are increased to
185	4000 $\text{m}^2 \text{ s}^{-1}$ (from 3000 $\text{m}^2 \text{ s}^{-1}$ ) and the anisotropic horizontal viscosity coefficients are
186	oriented along the model grid directions (instead of the east-west and north-south
187	directions) with larger values. These larger viscosities are simply due to the coarser
188	horizontal resolution of the x3 configuration. We note that there are three aspects of
189	the horizontal viscosity formulation that are still the same between x3 CCSM3 and
190	CCSM4 configurations: overall viscosity values, their grid-dependent orientations, and
191	no dependency of these viscosities on the local deformation rate. The present x3 uses
192	the same third-order upwind tracer advection scheme as in the x1 CCSM4 instead of the
193	centered advection scheme of the x3 CCSM3. Finally, CCSM4 x3 now includes the
194	parameterized diurnal cycle in shortwave heat flux unlike in the x3 CCSM3.

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The CCSM4 sea ice component is based on the Community Ice Code version 4 (CICE4) (Hunke and Lipscomb 2008, Holland et. al, 2011). Adjustments to ice albedos were required to simulate more reasonable ice extent and thickness values. Ice albedos designed for the x1 model are not appropriate for the low resolution model and were

decreased to compensate for excessive ice. In CCSM4, ice albedos are not adjusted
directly, but are computed using parameters representing optical properties of snow,
bare sea ice, and melt ponds. These values are based on standard deviations from data
obtained by SHEBA (Surface Heat Budget of the Arctic), (Uttal et. al 2002).

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#### 205 3. Overview of simulations

206 Two T31x3 simulations are presented; a pre-industrial control simulation (1850 AD forcing) of 500 years in length, and a modern 20<sup>th</sup> century transient simulation (1850 -207 208 2005 AD forcing). In sections 4 and 6, the T31x3 pre-industrial simulation is compared to 209 the FV2x1 pre-industrial control as a means to show the viability of the T31x3 model as an alternative to the FV2x1 model. However, in order to evaluate the T31x3 model with 210 respect to nature, in section 5, we analyze the modern period (1986-2005) of the 20<sup>th</sup> 211 212 century simulation compared to observations. We will refer to the low resolution preindustrial simulation as T31x3 1850, the low resolution 20<sup>th</sup> century simulation as 213 T31x3 20C, the intermediate and standard Pre-Industrial simulations as FV2x1\_1850 214 and FV1x1 1850, and the intermediate and standard 20<sup>th</sup> century simulations as 215 FV1x1 20C and FV2x1 20C. Further details on FV1x1 and FV2x1 control and 20<sup>th</sup> 216 century simulations can be found in Gent et al. (2011). 217

The T31x3 1850 control simulation was initialized using PHC2 potential temperature 219 220 and salinity data (Polar Science Center Hydrographic Climatology dataset, representing a blending of the Levitus et al (1998) and Steele et al. (2001) data for the Arctic Ocean) 221 and state of rest in the ocean model. The 20<sup>th</sup> century simulation was integrated for 150 222 223 years using aerosol, greenhouse gas, volcanic, solar, land use, and nitrogen deposition forcing suitable for the historical period of 1850 – 2005 AD. This run was initialized from 224 the T31x3 1850 control simulation at year 500. Unless otherwise stated, figures for 225 226 mean state variables in this paper will use a 50 year average taken from the end of each pre-industrial control simulation, and the last 20 years (1986-2005) for the 20<sup>th</sup> century 227 simulations. 228

229

The decision was made to tune the T31x3 model to achieve a near zero TOA balance for 230 231 1850 conditions, in step with all other CCSM4 pre-industrial control simulations 232 (FV1x1 1850 and FV2x1 1850) documented in Gent et al. (2011). After tuning, the 233 T31x3 1850 control simulation was integrated for 500 years but essentially came into a 234 stable TOA radiative balance after 100 years of integration. The mean TOA heat imbalance computed using the last 50 years is  $+0.09 \text{ Wm}^{-2}$ . Following an initial, rapid 235 decline, the ocean global volume-mean potential temperature, <T>, increases almost 236 237 linearly starting at year 50 (Fig. 1a). By the end of the 500-year T31x3 1850 simulation, <T> is 3.80°C, representing a warming of about 0.10°C from its initial value. The oceanic 238 heat gain remains rather steady at 0.16 W  $m^{-2}$  (= 0.11 W  $m^{-2}$  when scaled by the entire 239

240	surface area of the Earth) after the initial transient and largely reflects the TOA heat gain
241	of 0.09 $Wm^{-2}$ in the coupled system. In comparison with the initial condition, most of
242	this heat gain occurs in the 500-3500 m depth range while the ocean loses heat above
243	500-m depth (not shown). We note that the heat gain in T31x3_1850 is in stark contrast
244	with both FV1x1_1850 and FV2x1_1850 in which the ocean actually loses heat at -0.14
245	W m <sup>-2</sup> (over the last 700 years) and -0.09 W m <sup>-2</sup> (over the last 600 years), respectively.
246	The ocean global volume-mean salinity, <s>, shows a small, but linear freshening trend</s>
247	after year 50, corresponding to -2.9x10 <sup>-4</sup> psu century <sup>-1</sup> for years 50-500 (Fig. 1b).
248	Nevertheless, due to the initial salt gain, <s> at year 500 is only <math>4x10^{-4}</math> psu fresher than</s>
249	its initial value. Similar freshening with comparable trends is also seen in FV1x1_1850,
250	while FV2x1_1850 does not show any monotonic and discernable trends in <s>.</s>
251	

252	An important metric for a coarse resolution coupled model is to have a stable
253	meridional overturning circulation, particularly in the Atlantic Basin (Yeager et al. 2006).
254	We show the Atlantic Meridional Overturning Circulation (AMOC) maximum transport
255	time series from T31x3_1850 in Fig. 1c. Here, the maximum transport is searched for
256	below 500-m depth and between $30^{\circ}$ - $60^{\circ}$ N and it includes parameterized eddy
257	contributions in addition to the mean flow. Following an initial decline, the model
258	maintains a robust AMOC with a mean maximum transport of 17.4 Sv over the last 100
259	years. This transport, however, is smaller than in both FV1x1_1850 (~ 26 Sv) and
260	FV2x1_1850 (~26 and ~23 Sv in high and low transport regimes, respectively).

# 262 4. Resolution Differences

## 263 a. Ocean SST and Zonal Wind Stress

264	The T31x3_1850 sea surface temperature (SST) difference distribution from the Hurrell
265	et al. (2008) dataset is presented in Fig. 2 in comparison with the FV1x1_1850 and
266	FV2x1_1850 differences from the same observational data. For these pre-industrial
267	comparisons, the observational estimate is based on the 1870-1899 time-mean SST. In
268	T31x3_1850, the global-mean SST bias is -1.54°C, colder than in both higher resolution
269	versions. The root-mean-square (rms) difference of 2.28°C from observations in
270	T31x3_1850 also represents the largest departure from observations among these
271	control cases. The warm bias magnitudes in the upwelling regions off the west coasts of
272	South America, South Africa, and California are comparable in T31x3_1850 and
273	FV1x1_1850 – in both these biases are smaller than in FV2x1_1850. The largest cold
274	biases in excess of 6°C occur in the North Pacific and North Atlantic in T31x3_1850.
275	These are due to more southerly paths of the Kuroshio and Gulf Stream / North Atlantic
276	Currents and subsequent too-far-south penetration of the subpolar gyres in both basins,
277	and they are noticeably different than those of FV1x1_1850 and FV2x1_1850. These
278	differences primarily reflect changes in the barotropic circulation partly resulting from
279	differences in the wind stress curl fields that largely determine the interior gyre
280	circulation through the Sverdrup balance in these coarse resolution simulations
281	(Danabasoglu 1998). In particular, the T31x3_1850 wind stress curl exhibits a subpolar

positive pattern with significantly more southward excursion than in FV1x1\_1850 and
FV2x1\_1850 (not shown).

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285	Zonal averages of the zonal wind stress component from pre-industrial and 20 <sup>th</sup> Century
286	simulations for the Southern Hemisphere are presented in Fig. 3 (discussion of the $20^{th}$
287	Century results is deferred to Section 5b). Both pre-industrial simulations with the FV
288	dynamical core show very similar distributions with similar maximum wind stresses (>
289	0.19 N m <sup>-2</sup> ) located at about 54 $^{\circ}$ S, indicating little sensitivity to the horizontal resolution
290	of the atmospheric model. In comparison, the Southern Hemisphere storm track is
291	displaced further north and its maximum strength is smaller (0.14 N m <sup>-2</sup> located at $47^{\circ}$ S)
292	in T31x3_1850. The TMS parameterization appears to contribute to this weakened wind
293	stress as a sensitivity simulation (referred to as T31x3_1850_NOTMS in Fig. 3) shows
294	roughly 10% larger magnitudes without it.

295

296 b. Precipitation and River Transport

Annual averaged precipitation rate across different model horizontal resolutions (Fig. 4)

is shown for the 1850 control runs. All three resolutions exhibit the signature double-

299 ITCZ (inter-tropical convergence zone) also seen in CCSM3 (Hack et. al 2006) and

300 previous versions of the model, regardless of dynamical core. In general, precipitation

rate and precipitable water (not shown) is reduced in T31x3 compared to both FV2x1

and FV1x1 as evidenced by a globally averaged value of 2.64 mm/day of precipitation 302 303 rate for T31x3 as opposed to values of 2.93 and 2.87 for FV2x1 1850, and FV1x1 1850, 304 respectively. Spatially, this can be seen in Fig. 4 with the largest differences in the 305 tropical Pacific. In part, this can be attributed to the fact that the T31x3 is a colder 306 model (Figs. 2 and 8) than its higher resolution counterparts, so less precipitable water 307 is available to the system. Less precipitation improves the comparison to observations in the 20<sup>th</sup> Century run (discussed in section 5a) which in turn improves sea surface salinity 308 309 biases (section 5c).

310

River flow acts as an integrator of processes across river basins. Such processes include 311 mass and energy exchange at the land-atmosphere interface, in the soils, and in plants. 312 Gent et al. (2010) evaluated  $2^{\circ}$  and  $0.5^{\circ}$  pre-industrial simulations of the CCSM3.5 by 313 314 analyzing the river flow simulated by the CLM's river transport model. Gent et al. (2010) found improved river flow at higher resolution and attributed this mainly to the 315 316 improved simulation of atmospheric variables in CAM, and to a lesser degree to the improved representation of land surface processes, such as snow cover, in the CLM. 317 Following a similar approach here, we assess whether the T31x3 1850 simulation shows 318 319 degraded river transport relative to the FV2x1 1850 simulation. For the most part, it 320 does not. Cumulatively, the T31x3 model underestimates and the FV2x1 and FV1x1 321 models overestimate fresh water input to the Northern Hemisphere oceans relative to 322 observational estimates (Dai and Trenberth 2002) (Fig. 5a). The FV2x1 1850 model is in

323 closer agreement to the global total observed runoff, partly due to compensating biases. 324 The T31x3 1850 model performs better than the FV2x1 1850 in the Atlantic Ocean 325 basin (Fig. 5b) and better than both FV models in the Indian Ocean basin (Figure 5c), 326 although the T31x3 1850 model underestimates runoff in the northern Indian Ocean. In 327 the Pacific Ocean basin it is more difficult to pick the better simulation (Fig. 5d). These 328 changes can be attributed to increased precipitation in the T31x3 1850 simulation in the Amazon Basin and near the 30N°-40N° latitude band, and decreased precipitation in 329 330 monsoon regions surrounding the Indian Ocean (Fig. 4). The T31x3\_1850 model shows a 331 sharp reduction in Arctic runoff relative to the FV models (Fig. 5e) due to a strong highlatitude cold bias at T31x3 (Fig. 2 and 8). Colder temperatures are accompanied with 332 333 reduced precipitation (Fig. 4). Colder temperatures also lead to the accumulation of water in perennial soil ice (not shown). 334

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#### 336 c. Sea Ice and Northward Heat Transport Impact

A key result when analyzing sea ice across resolutions (Fig. 6) is that the ice extent in the Arctic improves with resolution. This is mostly due to an improvement in the Northern Hemisphere winds (not shown) and atmospheric and oceanic heat transport with resolution. Note the sea ice is too extensive in both the T31x3 and FV2x1 simulations (Fig.6 upper panels) compared to the SSM/I satellite observations (Cavalieri, 1996) (solid black line) and by contrast well simulated in the FV1x1 simulation. When evaluating sea ice extent timeseries across the entire length of the 1850 control simulations, we find

344 the FV2x1 simulation produces a sea ice pattern in the Labrador Sea very similar to 345 FV1x1 (not shown) during some time periods, while most time periods exhibit a spatial 346 extent as seen in Fig. 6. In both the T31x3 and FV1x1, the sea ice extent is stable and 347 similar across all years in the control experiments. The Southern Hemisphere sea ice 348 area is shown in Fig. 6, lower panels. The summer (JFM) minimum extent and area in the T31x3 1850 simulation are closer to SSM/I satellite observations (Fig. 6, lower panels) 349 350 than either the higher resolution versions. Similarly, the sea ice is thinner overall in 351 T31x3 1850 and more in agreement with the sparse observational estimates of 352 Southern Hemisphere sea ice thickness (not shown).

353

The relatively poor performance of the model in representing the Northern Hemisphere 354 355 sea ice compared to the Southern Hemisphere sea ice is due to different processes. In 356 the northern hemisphere it is accomplished by coastal boundary currents, which are 357 neither resolved nor parameterized. This leads to a too small poleward heat transport in 358 the Arctic. With higher resolution, resolving these coastal currents leads to a redistribution of heat and a reduced sea ice bias in the Northern Hemisphere (Jochum et 359 360 al. 2008). In the Southern Hemisphere, the sea ice distribution becomes worse with 361 higher resolution due to the fact that the Southern Hemisphere westerlies are overly strong (Holland and Raphael, 2006). Why these westerlies become stronger and worse 362 as the resolution increases is an open question since Boville (1991). Bitz et al. (2005) 363 364 also discusses important factors in determining ice extent in observations and models.

366	These concepts are nicely demonstrated in the various CCSM4 simulations. Poleward
367	heat transport in the x3 ocean is lower than both observationally-based estimates and
368	the higher resolution simulations in the Northern Hemisphere while similar to the x1
369	ocean in the Southern Hemisphere (see Fig. 15). This is also true of the northward heat
370	transport by the atmosphere (not shown). The magnitude of the Southern Hemisphere
371	wind stress in the x3 ocean compares better to observational estimates than the higher
372	resolution models which are all too high (Fig. 3). The equatorward displacement of the
373	wind stress in the x3 ocean is corrected somewhat by the inclusion of the TMS
374	parameterization (Fig. 3). It is important to note that neither the intermediate or
375	standard resolution experiments apply TMS. Given the Southern Hemisphere poleward
376	heat transport is similar at all resolutions, the likely explanation for the improvement in
377	Antarctic sea ice extent is due to the improvement in the wind stress. In the northern
378	hemisphere, the weak northward heat transport in the atmosphere and ocean explains
379	the thicker and more extensive sea ice in the low resolution CCSM4. Other work (Bitz
380	pers. comm.) on the ultra-high resolution CCSM4 show a high bias in northward heat
381	transport (atmosphere and ocean). Consequently, in the Northern Hemisphere, sea ice
382	is too thin and extent too low, while the Southern Ocean extent is similar to other
383	resolutions due to a similar bias in the surface wind stress.

## 386 *d.* 20<sup>th</sup> Century Surface Temperature Anomalies

When comparing the 20<sup>th</sup> century simulations across resolutions, surface temperature 387 anomalies are examined. Anomalies are computed using the mean of the first 20 years 388 of each case (Fig. 7) following Gent et al., (2011). The T31x3 timeseries tracks closely 389 with the observational record (HadCRUT3v) and the FV1x1 20C. The FV2x1 20C is an 390 391 outlier in that the surface temperature anomalies are greater than observed. By the end of the 20<sup>th</sup> century period, the T31x3 anomalies compare more closely to the 392 observations than either the FV2x1 20C or the FV1x1 20C anomalies, yet are still 393 394 slightly biased high. This result is consistent with Gent et al. (2011) which shows the CCSM4 response to the late 20<sup>th</sup> century historical forcing to be too strong. 395

396

#### **5.** *Climate State and Comparison to Observations*

#### 398 a. The Atmosphere and Land Solutions

There are a number of systematic biases in the atmospheric simulation that are documented here. The bias in simulated annual, zonal-mean land surface air temperature is shown in Fig. 8. For the 20th Century simulation, the simulated surface air temperature is too cold (by 2° to 5°C) compared to the observations. The cold surface air temperature bias in the tropical regions is related to a deficit of incoming shortwave radiation in this region (not shown), where the energy deficit is as large as 30 to 40 Wm<sup>-2</sup> in certain regions. At higher Northern latitudes, the cold bias in the model is related to weak ocean heat transport (see Fig. 15) compared to observations. Fig. 8 also
indicates that the T31X3\_1850 simulation is significantly colder over most latitudes than
the FV2X1\_1850 simulation, which is again indicative of biases in both the simulated
surface energy budget and weak poleward heat transport in the T31X3 model.

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411 To further investigate the role of biases in surface energy and ocean heat transport, a 412 sensitivity study was carried out to test whether addressing the tropical surface energy bias could lead to an improvement in overall meridonal surface air temperatures. The 413 regional bias in absorbed shortwave energy in the tropical Atlantic ranges from 30 to 414 over 40 Wm<sup>-2</sup>, where the model underestimates shortwave energy absorbed at the 415 ocean surface. One hypothesis is that this under representation of shortwave energy at 416 417 the surface is linked to high latitude cold surface temperatures, because this missing tropical energy is not available to be transported to higher latitudes. To test this 418 419 hypothesis, cloud properties were tuned to allow more shortwave radiation to reach the 420 tropical surface, thus forcing the model to agree better with observational estimates of the surface shortwave budget (to locally within 10 Wm<sup>-2</sup> of observations). The tropical 421 surface-air temperatures from this re-tuned model did increase (in fact they were too 422 warm compared to observational estimates by over 2 °C), but high latitude 423 temperatures remained too cold compared to observations, similar to the control 424 version of the model. This result indicates that even if one were to improve the local 425 426 shortwave bias in the tropical surface energy budget, the x3 ocean model cannot

efficiently transport this heat poleward to reduce the cold bias at high latitudes (see Fig. 15).

430	The bias in 20 <sup>th</sup> century simulated annual mean precipitation (Fig. 9) illustrates the
431	signature of a double ITCZ structure in the tropical Pacific which exists in all resolution
432	versions (Fig 4.) Although the T31x3 compares to other resolutions, regional biases
433	specific to the low resolution version certainly exist. There is a significant bias in the
434	location of the maxima in the Indian monsoon (seen in June-July-August), where more
435	precipitation occurs in the Arabian Sea region compared to the Bay of Bengal. There is
436	also excessive precipitation in the Western Pacific warm pool region leading to low
437	salinities in this region (see Fig. 13). Precipitation in the Congo region is also a
438	significantly overestimated, which correlates through enhanced runoff with a low
439	salinity bias in the southern Atlantic coastal region off of Africa (see Fig. 13).
440	
441	The T31X3_20C zonal annual mean atmospheric temperature structure has many
442	realistic features compared to reanalysis (Kalnay et al. 1996) as shown in Fig. 10. The
443	comparison (Fig. 10c) shows the well-known bias signature of a cold polar upper
444	troposphere of magnitude 7 to 8°C, a feature that has existed in previous versions of the
445	CCSM regardless of resolution, (Collins et al. 2006, Neale et al. 2011). The atmosphere,
446	in general, is too cold compared to the reanalysis. Comparison with other reanalysis
447	products and satellite data (not shown) confirm this general feature of the model. The

448 tropical tropopause region also is too cold by ~7°C, which will affect the model's ability
449 to simulate realistic stratospheric water vapor.

451	The T31X3_20C annually averaged zonal mean wind is shown in Fig. 11. Note that in the
452	Southern Hemisphere the jet is displaced too far equatorward, which is reflected in the
453	bias in the zonal surface stress (Fig. 3). The equatorward displacement of the jets is a
454	signature of the low resolution model. With increased horizontal resolution the
455	southern hemisphere jet strengthens and shifts poleward.
456	
457	The simulated Northern Hemisphere 500 hPa height field is compared to reanalysis in
458	Fig. 12. The T31X3_20C simulation of the Pacific Northwest ridge is in better agreement
459	than the higher resolution simulations (not shown). This improvement may be due to to
460	the TMS parameterization, but also could be related to the position of tropical Pacific
461	heating given that this ridge is related to Rossby wave propagation from the central
462	Pacific region. The biases in the simulated height field of the North Atlantic region points
463	to biases in the Arctic circulation that exacerbate biases in Arctic sea ice thickness and
464	extent (see Figs. 6 and 16).

466 Finally, for land model diagnosis, we evaluate river discharge as in Section 4. Here we467 add the T31x3\_20C simulation to the comparison shown in Fig. 5. We find that in all

ocean basins except the Pacific the T31x3\_20C simulation performs similar to or better
than the three 1850 configurations relative to the observations. This statement holds
primarily for the cumulative ocean basin discharge values and is reassuring considering
that the observations correspond to the present day.

472

#### 473 b. The Ocean Solution

474 The SST and sea surface salinity (SSS) differences from the present-day Hurrell et al. 475 (2008) dataset (1986-2005 mean) and the Polar Science Center Hydrographic 476 Climatology (PHC2; a blending of the Levitus et al. (1998) and Steele et al. (2001) data 477 for the Arctic Ocean), respectively, are shown from T31x3\_20C in Fig. 13. The globalmean SST is colder than in observed by -1.26°C and the associated rms difference is 478 1.88°C. These can be contrasted with the corresponding FV1x1 20C values of 0.30°C and 479 480 1.14°C, respectively. The present-day SST difference patterns and most magnitudes are very similar to those of the pre-industrial control simulation (Fig. 2c). The largest 481 482 exception is the reduced cold bias in the North Pacific. The SSS difference distribution 483 shows a substantial reduction of the fresh bias in T31x3 20C compared to FV1x1 20C with mean biases of -0.15 and -0.37 psu, respectively. The rms error in T31x3 20C (0.94 484 485 psu) is very similar to that of FV1x1 20C (0.88 psu). We believe that the reduced fresh 486 bias in T31x3\_20C largely reflects the improvements in precipitation with much reduced 487 mean and rms errors compared to observations than in the higher resolution versions.

488

489 The AMOC remains rather robust throughout T31x3 20C with its maximum transport 490 fluctuating roughly between 15.5 and 19.5 Sv (not shown). As in the FV1x1 20C 491 simulations, the maximum transport diminishes only slightly by 1-2 Sv towards the end of the 20<sup>th</sup> Century. For this later period (1986-2005), Fig. 14 presents the time-mean 492 493 global and Atlantic MOC distributions for the total flow, showing generally weaker 494 transports in T31x3 20C than in both the higher resolution versions and available observations. For example, the cell associated with the North Atlantic Deep Water 495 496 (NADW; large clockwise circulation in the Northern Hemisphere in both panels) has a 497 maximum transport of 16.8 Sv, smaller than the FV1x1 20C transport of 24 Sv. At the latitude of the RAPID observations (26.5°N), the AMOC maximum transport is about 498 499 13.5 Sv, lower than the observational mean transport estimate of 18.7 Sv (Cunningham et al. 2007). In T31x3 20C, the combined Denmark Strait and Faroe Bank Channel 500 501 overflow transport is also low, i.e., 3 Sv, compared to available observational estimates (6.4 – 9.4 Sv) and that of the FV1x1 20C (5.2 Sv). Despite the parameterized overflows, 502 the penetration depth of the NADW remains shallow. Here, this depth is defined as the 503 depth of the zero contour line, separating the NADW cell from that of the Antarctic 504 Bottom Water (AABW; counter-clockwise circulation below about 3000 m depth in Fig. 505 506 14b). Indeed, while the RAPID observations show about 4350 m as the NADW penetration depth at 26.5°N, T31x3 20C penetration depth is only 3250 m, more than 507 1000 m shallower. Such shallow NADW penetration depths appear to be a common 508 509 feature of other CCSM4 simulations due to coupled model density biases in the North 510 Atlantic, as discussed in detail in Danabasoglu et al. (2010) and Danabasoglu et al.

(2011). Finally, the transport associated with the AABW is weaker in T31x3\_20C (> 4 Sv
global) than in both FV1x1\_20C (> 8 Sv global) and the range of observational estimates.
We refer to Danabasoglu et al. (2011) for further discussion on MOC.

514

515	In FV1x1_20C, the model deep water formation sites in the North Atlantic include the
516	Labrador Sea basin in good agreement with observational estimates of deep convection
517	sites. Unfortunately, this improvement is absent in T31x3 simulations where the main
518	deep convection site is located just south of Iceland (not shown). Furthermore, both the
519	geographical extent of the region with for example, mixed layer depths (MLD) > 250 m,
520	and the mean maximum MLD are much smaller in T31x3_20C than in FV1x1_20C. These
521	MLD changes along with weaker NADW transport cannot be attributed to coupled
522	model biases as they are also present in forced ocean-only simulations at this
523	resolution. Although a deeper discussion of ocean ventilation is beyond the scope of
524	this paper, an in-depth analysis of the x3 ocean ventilation in comparison with the x1 $$
525	model and observations is available elsewhere, (K. Moore, personal communication).

526

527 We show the global and Atlantic Ocean total northward heat transports (NHT) from 528 T31x3\_20C in comparison with those of FV1x1\_20C and the implied transport estimates 529 from Large and Yeager (2009) based on the observationally-based surface flux data for 530 the 1984-2006 period in Fig. 15. An Atlantic Ocean estimate using the RAPID data from 531 Johns et al. (2011) is also included. As in the CCSM3 low resolution version, NHT in the

Atlantic Ocean is lower than either the implied estimate or in FV1x1 20C. The peak 532 533 transport reaches only 0.66 PW located between 17°-23°N. The ocean model is likely responsible for this deficiency that accompanies weaker AMOC because forced ocean-534 535 only simulations produce similar or slightly larger NHT in the Atlantic Basin. The global 536 NHT however remains closer to the implied estimate range due to a larger contribution from the Pacific domain. While the FV1x1 20C and T31x3 20 NHTs are almost the same 537 with similar departures from the implied estimate between 15°S-10°N, NHT in 538 539 T31x3 20C compares more favorably with the implied estimate than in FV1x1 20C south of 15°S. 540

541

Zonal-mean zonal wind stress maximum of 0.15 N m<sup>-2</sup> in T31x3 20C is in excellent 542 agreement with the estimate from Large and Yeager (2009) (Fig. 3). However, the model 543 544 shows an unrealistic migration of the Southern Hemisphere storm track toward the equator as in the low resolution pre-industrial simulation. Due to this northward shift, 545 546 the Antarctic Circumpolar Current transport at Drake Passage is only 105 Sv, lower than the Cunningham et al. (2003) observational estimate of 137 +/-8 Sv. In FV1x1 20C, while 547 the latitude of the maximum zonal-mean zonal wind stress is in agreement with the 548 Large and Yeager (2009) data, its magnitude is > 30% larger. 549

550

The larger lateral viscosities required by the model's low horizontal resolution produce
wider western boundary currents with generally smaller transports compared to those

of the higher resolution versions. In the Pacific Ocean, the Equatorial Undercurrent
compares favorably with the Johnson et al. (2002) observations with both its maximum
zonal speed (100 cm s<sup>-1</sup>) and the depth and upward tilt from west-to-east of its core (not
shown). Finally, compared to observations, while the mean SST is colder by 1-2°C along
the equatorial Pacific (Figure 2), the SST seasonal cycle is in good agreement.

558

#### 559 c. The Sea Ice Solution

560 The quality of the modern sea ice simulation in the low resolution CCSM4 is somewhat mixed. Overall, while the sea ice thickness and extent in the Southern Hemisphere are 561 562 in agreement when compared to sparse observations, the distribution of the sea ice in the Northern Hemisphere is not correct. Fig. 16 (upper panels) show a comparison of 563 the winter (JFM) maximum ice area for the T31x3 20C and FV1x1 20C transient 564 565 simulations for the period of 1986-2005 for the Northern Hemisphere. The black 566 contours show the 10% concentration line from SSM/I satellite (Cavalieri et al. 1996) observations. The FV1x1 20C produces the best overall ice extent for the Arctic while 567 568 the T31x3 20C simulation is much too extensive. Jahn et al. 2011 found that although FV1x1 20C produces the spatial distribution quite well compared to observations, ice 569 570 thicknesses are still biased high. The JFM sea ice thicknesses in Fig. 16 (lower panels) 571 highlight the degradation in T31x3\_20C. Part of the excessive thickness problem in the Arctic can be attributed to the TMS parameterization based on a sensitivity study 572 without TMS that produced ~20% less ice in the Arctic Ocean. Unfortunately, even 573

without TMS, ice thicknesses were still too large. When developing the T31x3 model, we
found that omitting ice runoff from land alleviates some of the thickness bias in T31x3,
particularly over the Baffin Bay region. Lowering inherent optical properties for the
snow on sea ice, i.e. reducing the surface albedo, also helps to improve the overall
thickness bias in the Arctic, but ultimately, even with these changes, all T31x3
simulations produce much too thick sea ice in the Arctic.

580

In the Southern Hemisphere (Figure 16, middle panels), as in the 1850 control
simulations, the summer (JFM) sea ice extent is greatly improved in the T31x3\_20C
simulation compared to the Northern Hemisphere. While both simulations are too
extensive in summer compared to SSM/I satellite observations, the T31x3\_20C produces
a more reasonable ice extent compared to the higher resolution version.

586

587 We believe that the TMS parameterization does not have the same effect on the Southern Hemisphere as on the Arctic sea ice. In the Arctic, the TMS leads to much 588 589 thicker sea ice in the central Arctic. By its nature, the TMS parameterization takes 590 momentum from the equatorial region and moves it towards the pole. The excess 591 momentum leads to a cooling of the Polar Regions. Hence the differing effects in the 592 two hemispheres: In the south, the momentum is deposited over the continent and 593 does not overly affect the Southern Ocean sea ice; while in the north, the excess momentum is deposited in the center of the Arctic Ocean, leading to substantial cooling 594

and thicker sea ice. However, the benefits of TMS to the overall T31x3 model outweigh
the detrimental effects on sea ice, hence TMS is applied by default to this configuration.

597

598 6. Climate Variability

599 *a.* ENSO

600 The variability of ENSO on decadal to centennial time scales (e.g. Wittenberg 2009) 601 requires us to provide a general circulation model for ENSO research that is fast but 602 realistic, and simulates the full set of relevant processes. In CCSM4 the modification of 603 the convection scheme to account for convective plume dilution and convective 604 momentum transport is a milestone for model development, because it resulted in 605 realistic ENSO periods and amplitudes (Neale et al. 2008). These improvements are realized in the coarse resolution T31x3 as well (Jochum et al.2009), and the efficiency of 606 this version allows for the rigorous statistical testing of ENSO hypotheses (Stevenson et 607 al. 2010). 608

609

A detailed discussion of ENSO in the T31x3 resolution is beyond the scope of this brief overview and the reader is referred to Jochum et al. (2010). The purpose here is merely to demonstrate that the recent release has realistic periods and amplitudes, too. The spectra are based on the NINO3 SST (SST averaged over 150°W-90°W and 5°S-5°N) of the years 201-500 for each of the control simulations, and on the NINO3 SST of the 130 year HadSST reconstruction (Rayner et al. 2006) for the observations (Fig. 17). The T31x3
simulations exhibit a broad spectrum of energy between 2 and 6 years as in the
observations. This is true for the FV simulations as well, but the FV2x1 amplitude is
excessive compared to observations. Thus, the new convection scheme does lead to
realistic periods for all simulations, but does not seem to affect the amplitude of ENSO.

620

621 Purely kinematic arguments suggest that the ENSO amplitude has to depend on the 622 zonal as well as vertical temperature gradient along the equator (e.g.; Schopf and 623 Burgman 2006), but nonlinear feedbacks between SST and wind anomalies complicate 624 the matter (e.g.; Gebbie et al. 2007). There are insufficient observations available to constrain the relative contribution of these processes (Capotondi et al. 2006 provides an 625 626 exhaustive list), but it appears that anticorrelation between the strength of ENSO and of 627 the seasonal cycle is a robust signal across all simulations (Table 1). We see that the relative strength of the seasonal cycle is a good predictor for the strength of ENSO, 628 629 including observations, but that the mean SST is of little importance. By analyzing equatorial SST before and after the 1976 climate shift, this anticorrelation can actually 630 be observed, and Guilyardi (2006) attributes it to the fact that, because ENSO is a 631 632 disruption of the seasonal cycle, a weaker seasonal cycle is easier to be disrupted -and vice versa. This suggests that optimizing the simulated ENSO amplitude requires an 633 634 improved simulation of the seasonal cycle.

635

637 b. NAM and SAM

638 Sea level pressure (PSL) and the Northern Annular Mode (NAM) in the T31x3 are

639 comparable to the FV2x1. In the FV2x1, the strength of the North Atlantic winter low is

too strong whereas in T31x3 it is too weak. In the Southern Hemisphere, the T31x3 has a

slight high bias over the Antarctic continent (not shown).

642	To validate NAM in T31x3,	data from the last 2	6 years (1979-2005)	of T31x3_20C are

used to calculate the first empirical orthogonal function (EOF) of winter PSL (December,

January, February, and March). This time period was chosen to match observational

records utilizing consistent and synchronous data across each separate data source. The

646 principle components timeseries (PC1) are correlated against timeseries of surface

647 temperature (TS) and precipitation rate (PRECT). Observational data sets are taken from

the Hadley Center (PSL) (Allan and Ansell 2006), NCEP/NCAR Reanalysis (TS) (Kalnay et

al. 1996), and GPCP (PCP) Yin et al. 2004) for years 1979-2008. To evaluate NAM in the

650 control simulations, data (north of  $20^{\circ}$ N) was taken from the last 100 years of each

651 experiment (T31x3\_1850 and FV2x1\_1850).

652

T31x3\_20C captures the PSL spatial variability and represents both major centers of
action in the waters north of 60°N and over European continent. The shape and extent
of the North Atlantic center of action, seen in Fig.18, approximate observations

although the low resolution model extends this feature more deeply into North America
than exists in the observations. The obvious problem with the NAM, however, is a third
center of action in central north Pacific which is significantly larger when compared to
observations. This "tri-pole" pathology has existed in all previous versions and
resolutions of CCSM (Yeager et al 2006) and CCSM4 is no different.

662	When analyzing the 1850 control experiments, the north Pacific feature appears to be
663	much stronger in FV2x1_1850 and accounts for the largest variance in EOF1 PSL, (Fig.
664	19). T31x3 and FV2x1 EOF1 PSL exhibit different yet arguably equivalent errors in both
665	shape and placement of NAM patterns. Correlations of PSL PC1 to TS and PRECT (Fig. 18)
666	show T31x3_20C capturing the key areas of temperature and precipitation anomalies
667	across Europe and the Mediterranean region associated with NAM (Fig 19).
668	The Southern Annular Mode (SAM) was also computed for the low resolution control
669	run, (not shown). T31x3 PSL variability over the Antarctic continent is much higher than
670	observed. The FV2x1 does a better job at capturing shape and intensity of PSL EOF1 over
671	the Antarctic as well as EOF1 correlation to sea ice extent and surface temperature.
672	SAM was computed using annual PSL EOF1 across the last 50 years of the control
673	simulations. NCEP records from 1979-2002 show 27% of the variance can be explained
674	by PSL PC1 timeseries while this value for the T31x3_1850 and FV2x1_1850 simulations
675	is 39% and 28%, respectively. Understanding why Antarctic sea ice and Southern Ocean
676	climate compare well to observations while SAM is problematic is a subject for further

677 study. A detailed analysis of sea level pressure in the context of the TMS

parameterization is an obvious place to start, although the SAM problem appears to be
more of a function of resolution rather than one with TMS. Spatial patterns of SAM and
mean sea level pressure over Antarctica are not substantially different with or without
TMS, and in fact, including TMS reduces the mean SLP biases over Antarctica.

682

### 683 7. Climate Sensitivity

684 The equilibrium climate sensitivity due to a doubling of CO<sub>2</sub> was assessed for the T31x3 model. This is accomplished by extracting implied horizontal and vertical oceanic heat 685 686 transports and the mixed layer depths from the fully coupled control simulation. These forcing fields are then applied to a slab ocean model (SOM) formulation underneath 687 fully-active sea ice and atmosphere components (Bitz et al. 2011). Simulations were 688 689 performed at 1850 CO<sub>2</sub> levels and double the 1850 level to assess the change in globally averaged surface temperature due to an instantaneous doubling of  $CO_2$ . By this 690 definition, we found the low resolution CCSM4 has a climate sensitivity of 2.9°C which is 691 692 somewhat higher than its compliment in CCSM3 (2.32°C) (Kiehl et al 2006). The higher sensitivity of CCSM4 compared to CCSM3 is consistent across all resolutions of the 693 694 model. As a detailed discussion of sensitivity and its resolution dependencies is beyond 695 the scope of this paper, readers are encouraged to see Bitz et al. (2011) for an in-depth 696 analysis of CCSM4 sensitivity.

697

#### 699 8. Model Performance

700 We have assessed the low resolution CCSM4 performance on three platforms typically 701 used to integrate the model. We have tried a variety of load balancing scenarios using 702 MPI (Message Passing Interface) and OpenMP (Open Multi-Processing) parallelization. 703 The model scales well over a fairly wide range of processor counts (Table 2). The best tested performance is 72 simulation years per actual (wallclock) day value on the 704 705 National Center for Atmospheric Research's IBM Power6 with 192 processors (pes) and 706 a total cost of 32 pe-hours/model year. By contrast, the FV2x1 model simulates approximately 15 simulation years per (wallclock) day with the same amount of 707 708 processors. However, it should be noted that the optimal configuration for FV2x1 on 709 the IBM Power6 utilizes 576 processors which produces a throughput of 35 simulated 710 years per (wallclock) day with a total cost of 200 pe-hours/model year. T31x3 is 711 approximately six times less expensive than FV2x1 when running with respective 712 optimal configurations. Other CCSM4-supported machines include Linux clusters as well as Cray XT4's. We can achieve almost 20 years per day on NCAR's Linux cluster (Intel 713 714 CentOS) with 64 processors, and ~64 years per day on the National Energy Research 715 Scientific Computing Center's (NERSC) Cray XT4 with 420 processors.

716

Data volume is another consideration when resources are limited. In its default
 configuration, the low resolution CCSM4 produces approximately 1.9Gbytes/simulated-

- year of data. The intermediate resolution produces ~16.6 Gbytes/simulated-year and
  the standard resolution produces ~19.7 Gbytes/simulated-year. Of course, data volume
  can be controlled within the model by limiting model history variables and frequency of
  output. Due to the wide range of model configurations (resolutions and model
  components), supported machines, and potential processor configurations, we direct
  further inquiry on CCSM4 model performance and data volume statistics to the
  Community Earth System Model website at
- 726 <u>http://www.cesm.ucar.edu/models/ccsm4.0</u>.
- 727

#### 728 9. Discussion and Summary

729 The low resolution version of CCSM4 can be an alternative to the intermediate version of the model for most applications where cost is an issue. Long equilibrium runs, 730 731 sensitivity experiments requiring numerous simulations, and model development projects are examples of science problems that require many simulation years. T31x3 is 732 in agreement with both the observational 20<sup>th</sup> century temperature anomaly record and 733 FV1x1 simulated anomalies. FV2x1 20<sup>th</sup> century temperature anomalies are higher than 734 both observations and other resolutions. Precipitation patterns for T31x3 across the 735 736 globe are similar to that of FV2x1 and FV1x1 in that major biases, such as the double 737 ITCZ, exist in all resolutions. Analysis of river transport as a metric to approximate the 738 accuracy of the model water balance, in particular precipitation and river runoff, show the T31x3 model to approximate the FV2x1 in the Pacific basin and perform better in 739
740 the Atlantic and Indian Ocean basins. The AMOC remains robust in the T31x3 although 741 mixed layer depths remain too shallow in the North Atlantic. Maximum zonal wind 742 stress magnitude for the Southern Hemisphere appears more in line with observational 743 estimates than in the higher resolutions, although the storm track in the low resolution 744 model is displaced equatorward. This equatorial shift in the zonal surface stress in the 745 Southern Hemisphere is a reflection of the Southern Hemisphere jet bias in the atmosphere and may have implications for Southern Ocean studies. The 500 hPa 746 747 geopotential height is an improvement upon the FV2x1 in the Pacific Northwest, although biases still exist in Arctic. The T31x3 model has a significant cold bias 748 749 compared to the higher resolutions and observations. Low northward heat transport 750 and cold surface temperatures, particularly in northern Arctic locales, lead to thick and extensive amounts of sea ice. The T31x3 Antarctic sea ice solution, however, is in 751 752 agreement with observational records, in part, due to improved wind stress.

753

Climate variability was also evaluated by analyzing ENSO, NAM, and SAM. T31x3
simulates the amplitude and period of ENSO events realistically compared to
observations. Although the FV2x1 ENSO yields realistic periods as well, amplitudes are
too large. The discrepancy in amplitude between T31x3 and FV2x1, however, is likely
due to the implementation of the TMS parameterization in T31 CAM rather than a
function of resolution. NAM in T31x3 does not degrade with lower resolution and
produces realistic statistics associated with this mode of variability. SAM variability,

however, is simulated more realistically with the FV2x1 and does show improvementwith higher resolution.

764	Aside from the issues stated	in this paper	, the climate of T31x	3 does not significantly
	iside if elli the issues stated	ni tino papei		

- 765 degrade from the climate of FV2x1 but is a faster and more economical model. T31x3
- can be considered a useful tool for experiments and projects that require many
- simulation hours. It is nearly 5 times faster than FV2x1 when running with the same
- number of nodes and processors on a supercomputer yet flexible enough to work on a
- 769 much smaller Linux machine with far less computing power.

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1058	are (-18, -15, -12, -9, -6, -3, 1, 0, 1, 3, 6, 9, 12, 15, 18). Solid lines are positive values
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1066 **Fig13.** Sea surface a) temperature (SST, °C) and b) salinity (SSS, psu) T31x3\_20C minus

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1070 Fig 14. Meridional overturning circulation (Sv) for a) global and b) Atlantic Oceans from

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1090 **Fig 17.** Power spectrum for NINO3 SST anomalies for HadSST(black), T311850 (blue),

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1093

Fig 18. NAM analysis for T31x3\_20C (lower panels) versus observations (upper panels).
Winter (DJFM) PSL EOF1 (left) and correlations to surface temperature and precipitation
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for years 1979-2005. Correlations are plotted at the 95% significance level.

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Winter (DJFM) PSL EOF1 (left) and correlations to surface temperature and precipitation
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Table 1. NINO3 mean SST, and std of the seasonal cycle and the interannual variability.

**Fig19.** NAM analysis for T31x3\_1850 (lower panels) versus FV2x1\_1850 (upper panels).

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- 1110 highlighted in bold text. \**FV2x1 and FV1x1 comparison figures are shown in italics. Note*
- 1111 that T31x3 is not optimized for 512 or 576 pes.
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Figure 1. Annual-mean time series of a) global volume-mean potential temperature, b)
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1174Figure 6. Northern Hemisphere JFM (winter) sea ice fraction (%) (upper panels) for1175T31x3\_1850, FV2x1\_1850, and FV1x1\_1850. Southern Hemisphere JFM (summer)1176sea ice fraction (%) (lower panels) for T31x3\_1850, FV2x1\_1850, and FV1x1\_1850.1177SSM/I observations for sea ice 10% concentration are shown with heavy black line1178for reference.



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Figure 9. Annually averaged precipitation difference for T31x3\_20C from GCPCobservations (mm/day).



Figure 10. Annually averaged zonal mean air temperature (°C) with height for a)
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are every 5 degrees from -85°C through -50°C with all subsequent contours every
10°C. Contour intervals for c) are (-9, -7, -5, -4, -3, -2, -1, 0, 1, 2, 3, 4, 5, 7, 9). Solid
lines are positive values and dashed lines are negative values.



1216Figure 11. Annually averaged mean zonal wind (ms-1) with height for a) T31x3\_20C b)1217NCEP Reanalysis, and c) their difference. Contour intervals for a) and b) are every12185ms<sup>-1</sup> from -20ms<sup>-1</sup> to 30 ms<sup>-1</sup> with all subsequent contours 10ms<sup>-1</sup>. Contour intervals1219for c) are (-18, -15, -12, -9, -6, -3, 1, 0, 1, 3, 6, 9, 12, 15, 18). Solid lines are positive1220values and dashed lines are negative values.







- 1224 Figure 12. Annually averaged 500 hPa Geopotential Height (hectometers) for a)
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  0, 0.1, 0.2, 0.3, 0.4, 0.6, 0.8, 1) hectometers.



1232 Figure 13. Sea surface a) temperature (SST, °C) and b) salinity (SSS, psu) T31x3\_20C

1233 minus observations difference distributions. For temperature and salinity, the

1234 Hurrell et al. (2008) and PHC2 datasets are used, respectively.



Figure 14. Meridional overturning circulation (Sv) for a) global and b) Atlantic Oceans
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 the Eulerian-mean and parameterized mesoscale and submesoscale contributions.
 The positive and negative (shaded regions) contours denote clockwise and counter clockwise circulations, respectively. The contour interval is 4 Sv.





Figure 15. a) Global and b) Atlantic Ocean northward heat transports. The global 1267 1268 transports are the total transports and include the parameterized mesoscale, 1269 submesoscale, and diffusive contributions as well as the Eulerian-mean component. 1270 The Atlantic Ocean transports exclude the diffusive component. The dotted line 1271 denoted by LY represents implied time-mean transport calculated by Large and 1272 Yeager (2009) with shading showing the implied transport range in individual years. The triangle with the error bar is an estimate based on the RAPID data from Johns et 1273 1274 al. (2011).





- Figure 16. Northern Hemisphere JFM (winter) sea ice fraction (%) (upper panels) for T31x3\_20C and FV1x1\_20C. Southern Hemisphere JFM (summer) sea ice fraction (middle panels) (%) for T31x3\_20C and FV1x1\_20C. Northern Hemisphere JFM (winter) sea ice thickness (m) (lower panels) for T31x3 20C and FV1x1 20C. SSM/I observations for sea ice (10% concentration) are shown with heavy black line for reference.











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1316	panels). Winter (DJFM) PSL EOF1 (left) and correlations to surface temperature and
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1320	matches observational record for years 1979-2005. Correlations are plotted at the
1321	95% significance level.
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1330	years of timeseries in the simulation. Correlations are plotted at the 95% significance
1331	level.

Table 1: NINO3 mean SST and standard deviation of the seasonal cycle and the interannual variability. All values are in °C

interatinual variability. All values are in C.							
Source	Mean	Seasonal Cycle	Interannual Variability				
HadSST 25.7 0.95		0.79					
T31x3_1850	24.0	1.08	0.65				
T31x3_20C	24.1	1.03	0.69				
FV2x1_1850	25.4	0.59	1.37				
FV1x1_1850	25.4	0.72	1.02				

Table 2: Low resolution (T31x3) CCSM4 performance on three typical platforms: an IBM 

Power 6; a Cray XT4; and a small Linux cluster. Top performance for each machine is 

highlighted in bold text. \*FV2x1 and FV1x1 comparison figures are shown in italics. Note that T31x3 is not optimized for 512 or 576 pes. 

Machine	Processors (PES)	Simulated Years/Wallclock	Total Cost (PE- hours/Simulated
		Day	Year)
CRAY XT4	420	64	157
CRAY XT4	220	54	98
CRAY XT4	120	41	70
Linux cluster	64	19	80
Linux cluster	48	18	66
Linux cluster	32	16	47
Linux cluster	16	10	38
IBM Power 6	576	*FV2x1:35	*FV2x1: 200
IBM Power 6	512	*FV1x1: 13	*FV1x1: 459
IBM Power 6	192	<b>72</b> * FV2x1: 15	<b>32</b> *FV2x1: 159
IBM Power 6	128	49	31
IBM Power 6	64	32	24