1	Stochastic atmospheric forcing as trigger for sudden Greenland warmings *
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ABSTRACT

An unforced simulation of the Community Climate System Model 4 12 (CCSM4) is found to have Greenland warming and cooling events that resem-13 ble Dansgaard-Oeschger-cycles in pattern and magnitude. With the caveat 14 that only 3 transitions were available to be analyzed, we find that the tran-15 sitions are triggered by stochastic atmospheric forcing. The atmospheric 16 anomalies change the strength of the subpolar gyre, leading to a change in 17 Labrador sea-ice concentration and meridional heat transport. The changed 18 climate state is maintained over centuries through the feedback between sea-19 ice and sea-level pressure in the North Atlantic. We discuss indications 20 that the initial atmospheric pressure anomalies are preceded by precipitation 21 anomalies in the West Pacific warm pool. The full evolution of the anomalous 22 climate state depends crucially on the climatic background state. 23

24 1. Introduction

Abrupt climate transitions occurring in the North Atlantic (NA) region and in particular at Green-25 land during the last glacial period, spanning the period from about 120,000 to 12,000 years ago, 26 are well documented in various climate proxy archives, the most frequent ones being Dansgaard-27 Oeschger cycles (D-O events, Dansgaard et al. (1993)). D-O events feature a distinct pattern of 28 abrupt warming of 8 to 16 °C (e.g., Landais and Coauthors (2004), Huber and Coauthors (2006)) 29 followed by gradual cooling of the same amplitude. The cold and warm phases are called stadi-30 als and interstadials, respectively. D-O events occur most frequently in Marine Isotope Stage 3 31 (MIS3) and are also associated with a reorganisation in atmospheric circulation as infered from 32 various dust and deuterium excess measurements of Greenland ice cores (e.g., Steffensen et al. 33 (2008)). The snow accumulation rate during the interstadials is 50-100% larger then during the 34 stadials (Andersen et al. 2006). DO events coincide with abrupt changes in the tropics and large 35 parts of the northern hemisphere (an overview of paleoclimatic proxies indicating coinciding shifts 36 with Greenland temperature can be found e.g., in Rahmstorf (2002)) and Antarctic changes of op-37 posite sign (Barbante et al. 2006). 38

Interestingly there is also present day evidence for abrupt warmings at Greenland, although they are smaller in amplitude: around 1920 a warming of about $4^{\circ}C$ at several meteorological stations at Greenland is reported by Cappelen (2013). The warming lasted over a decade and was followed by a slight cooling until the mid-eighties.

First hypotheses trying to explain D-O events were based on an abrupt shutdown of the Atlantic Meridional Overturning Circulation (AMOC), initiated by fresh water input into the NA (e.g., Broecker et al. (1990)). More recent studies with state-of-the-art climate models revealed that the amount of fresh water necessary to slow down the AMOC sufficiently to produce tempera-

ture changes at Greenland comparable to D-O events depends on model details (Kageyama et al. 47 (2013), Manabe and Stouffer (1999), Dijkstra (2007)), as well as on the location of the freshwater 48 forcing (Mignot et al. 2007) and its timing (Bethke et al. 2012). Bethke et al. (2012) demonstrate 49 that different models produce a wide range of outcomes for the deglaciation, given the same forc-50 ing. However, there is little unambiguous evidence for AMOC shut down during D-O events. A 51 recent study finds instead that a strong AMOC prevailed during most parts of the last glacial pe-52 riod (Böhm et al. 2015) and shut down of the AMOC occured only during Heinrich events close to 53 LGM. Furthermore, the evidence for freshwater input into the NA during D-O events is still sub-54 ject to discussion. Based on sea level reconstructions from the Red Sea Arz et al. (2007) suggest 55 up to 25 m global sea level rise at the onset of interstadials. On the other hand Siddall et al. (2003) 56 and Rohling et al. (2008) suggest a higher sea level during stadials. 57

Some of the more recent hypotheses trying to explain D-O events invoke sea ice - atmosphere 58 interactions. Li et al. (2005) find that a reduction of sea-ice extent can cause a climatic response 59 consistent with D-O signals of temperature and accumulation measured from Greenland ice cores. 60 The authors point out, that in addition to the well known ice-albedo feedback, sea ice strongly in-61 fluences regional air temperatures by insulating the atmosphere from the ocean heat reservoir. The 62 possibility of "switching the ocean-atmosphere heat exchange off" due to extensive sea ice cover 63 provides a plausible mechanism for abrupt and large local temperature changes, even though the 64 initial forcing might be relatively small. Far-field signals and ocean-atmosphere feedbacks are not 65 represented in their study, due to prescribed sea surface temperatures (SST) and an inactive ocean 66 component. In their study the mechanism causing the displacement of the sea ice edge remains 67 unexplored. Li et al. (2010) extends the previous study by implementing more realistic sea ice 68 retreat scenarios. They find that the displacement of the sea ice edge in the Nordic Seas causes a 69 10 °C warming and 50 % increase in accumulation at Greenland summit, whereas sea ice changes 70

⁷¹ in the western NA have less effect.

Rasmussen and Thomsen (2004) find a subsurface warming during Greenland stadials from a ma-72 rine sediment core taken from the Nordic Seas. Based on sediment records Dokken et al. (2013) 73 confirm this subsurface warming and suggest a mechanism that could explain a sudden melt of 74 sea ice and hence in combination with the results of Li et al. (2005) explain reconstructed D-O 75 events. During the stadial phase warm Atlantic inflow is separated from surface and sea ice by 76 a strong halocline, allowing for a large ice cover to persist. The mechanism proposed to initiate 77 the abrupt melting involves a slow subsurface warming during stadials due to the separation of the 78 warm Atlantic inflow from the surface. Eventually this subsurface warming destabilises the water 79 column, the halocline collapses and warm subsurface water is reaching the surface and melting the 80 sea ice. 81

Studying the climate response to highly idealised scenarios of prescribed external forcing (e.g., 82 freshwater-hosing experiments, prescribing sea ice cover changes) gives insight into the particular 83 process and helps to determine its potential influence on Greenland temperatures. However, the 84 origin of the prescribed external forcing remains unclear. To our knowledge there are only five 85 examples of abrupt climate changes arising spontaneously in coupled climate models, i.e, without 86 been triggered by variations in external forcing. Hall and Stouffer (2001) describe a cooling event 87 around Greenland in a fully coupled climate model with coarse resolution. The event lasts for a 88 period of only 30-40 years and is caused by a persistent northwesterly wind anomaly transporting 89 cold and fresh water into the NA and causing a shutdown of deep water convection. Goosse et al. 90 (2002) describes cooling events occurring in an Earth System Model of Intermediate Complexity 91 (EMICs). The cold events are attributed to a displacement of the oceanic deep water convection 92 sites to a more southern location. Just as in Hall and Stouffer (2001) the shut down of deep wa-93 ter convection is induced by stochastic atmospheric forcing, but in addition Goosse et al. (2002) 94

demonstrate that such an event could also be triggered by appropriate changes in solar irradiance. 95 The remaining three examples of spontaneous climate transitions occur in state-of-the-art fully 96 coupled climate models. Sidorenko et al. (2014) report several events of sudden decrease of deep 97 water convection and increase of Labrador Sea (LS) ice extent. The mechanism behind it is not 98 studied in detail, but the authors attribute them to anomalously saline and warm water inflow into 99 the deep LS. The anomalous light deep water weakens the subpolar gyre (SPG) circulation causing 100 a change in the upper-LS fresh water budget. The warm and saline bias in the deep NA is mainly 101 attributed to too strong surface winds in the subtropical NA, modifying the path of Gibraltar Strait 102 outflow in the NA. Martin et al. (2014) report about centennial-scale variability of the AMOC and 103 other changes in the NA (as e.g., SPG strength and NA heat content) driven by Southern Ocean 104 deep water convection variability. The signal transmission to the NA occurs through an enhanced 105 meridional density gradient between deep (below 1200 m) NA and South Atlantic and a com-106 pensation of Antarctic Bottom Water by increased NA deep water extent. Drijfhout et al. (2013) 107 describe a spontaneous cold event with a duration of about 100 years. The authors attribute the 108 cold event to a period of anomalous high atmospheric blocking above the eastern subpolar gyre. 109 The blocking causes the sea ice edge to progress farther south in the Greenland Sea and eventually 110 excites a cold core high pressure anomaly at the south western tip of Greenland. The anomalous 111 anticyclone advects cold air through enhanced northerly winds to the sea ice edge, causing the sea 112 ice extent to grow even farther. Additional sea ice is transported southwards by ocean currents and 113 causes a fresh surface anomaly in the LS. As a consequence deep water convection shuts off and 114 due to longer exposure of the ocean surface to the atmosphere sea ice growth commences, rein-115 forcing the atmospheric anomaly. The anticyclone itself, once fully developed, causes a change 116 from northerly to more southerly winds, advecting warm air, melting the sea ice and returning the 117 system to normal. 118

Here, we document three climate transitions occurring in a 1000 year pre-industrial control sim-119 ulation of the Community Climate System Model 4 (CCSM4). These are two gradual cooling 120 and one abrupt warming event around Greenland. The analysis is focused on the first cooling 121 event. The atmospheric anomaly during the cold event resembles closely the one described by 122 Drijfhout et al. (2013). However, the evolution and hence the cause of the cold NA phase is differ-123 ent. It involves a strong positive feedback loop of the SPG circulation. The first weakening of the 124 SPG is caused by decrease in wind stress curl associated with a stochastic atmospheric circulation 125 anomaly. The decreased gyre circulation changes the salinity transport into the highly sensitive 126 deep water convection site in the LS, thus reducing here the deep water convection and eventually 127 affecting the AMOC. This is followed by a rapid increase of sea ice cover and a drop in Greenland 128 temperature. The increased sea ice cover itself forces a reorganisation of the atmosphere, thus 129 sustaining the anomalous atmospheric forcing of the gyre circulation for about 200 years. 130

The objective of this paper is to identify processes and regions of atmospheric, oceanic and sea 131 ice interactions that play a key role in the transitions between cold and warm NA phases and to 132 suggest a consistent sequence of atmospheric, oceanic and sea ice interactions. In Section 2 we 133 give a brief overview of CCSM4, the set-up of the control simulation and the different experiments 134 that we carried out. Section 3 comprises the results structured as follows. We describe how the 135 events manifest themselves in Greenland, the NA and globally (Section 3 a), followed by a de-136 scription of the stochastic atmospheric trigger (Section 3 b 1), the dynamics of the ocean response 137 and sea ice changes (Section 3 b 2) and the atmospheric feedback (Section 3 b 3). The sequence 138 of events leading to the abrupt warming is documented in Section 3 c. Finally we assess the de-139 pendence of the proposed mechanism on the particular background climate (Section 3 d) and test 140 the atmospheric trigger in forced ocean simulations (Section 3 e). A possible influence of tropical 141

temperature and precipitation anomalies on NA atmosphere circulation changes is discussed. The
 paper concludes with a discussion of our main findings in Section 4 and a summary in Section 5.

144 **2. Model**

The numerical experiments are performed using CCSM4, which consists of the fully coupled 145 atmosphere, ocean, land and sea ice models. A detailed description of this version can be found in 146 Gent et al. (2011). The ocean component is POP2 and has a horizontal resolution that is constant 147 at 1.125° in longitude and varies in latitude from 0.27° at the equator to approximately 0.7° in 148 high latitudes. In the vertical there are 60 depth levels; the uppermost layer has a thickness of 149 10 m, the deepest layer has a thickness of 250 m. The atmospheric component uses a horizontal 150 resolution of $1.9^{\circ} \times 2.25^{\circ}$ (longitude and latitude, respectively) with 26 levels in the vertical. The 151 sea ice model shares the same horizontal grid as the ocean model and the land model is on the 152 same horizontal grid as the atmospheric model. This setup constitutes the released version of 153 CCSM4, and further details can be found in Danabasoglu et al. (2012). The subsequent sections 154 will analyze and compare several different simulations listed in Table 1. The simulations are either 155 conducted with the fully coupled version or the ocean-sea ice version with prescribed atmospheric 156 fluxes and river run off. The main focus is on a 1000 year long pre-industrial control simulation 157 (CONT), in which the earth's orbital parameters are set to 1990 values and the atmospheric 158 composition is fixed at its 1850 values (for details of the atmospheric composition see Gent 159 et al. (2011)). For comparison with CONT and as initial conditions for some of the experiments 160 described below we make use of an other pre-industrial control simulation (HI). HI differs from 161 CONT only in the horizontal resolution of the atmospheric component which is $0.98^{\circ} \times 1.258^{\circ}$. 162 An overview of differences and similarities between CONT and HI is provided by Shields et al. 163 (2012) and discussed in Section d. 164

¹⁶⁵ Danabasoglu et al. (2012) and Danabasoglu et al. (2014) assess the fidelity of the CCSM ocean ¹⁶⁶ module. For CONT in particular we find that the AMOC is well represented at $26.5^{\circ}N$ with a ¹⁶⁷ maximum of 18.4 *Sv* at 1000 m, compared to the observed maximum of 18.6 *Sv*, also at 1000 m ¹⁶⁸ (Cunningham et al. 2007). The SPG circulation strength (spatial maximum of about 50 *Sv*) in ¹⁶⁹ CONT agrees fairly well with observations by Johns et al. (1995) and Pickart et al. (2002) of 48 ¹⁷⁰ and 40 Sv, respectively.

In a 200 year average previous to the transitions CONT features a cold ($\sim 2^{\circ}C$) and fresh (0.2 *PSU*) bias in the upper 100 *m* of the western SPG and the LS compared to temperature (Locarnini et al. 2010) and salinity (Antonov et al. 2010) data from the World Ocean Atlas 2009 (WOA09). Below the surface the model is too warm and salty by about 1 °*C* and about 0.3 *PSU*, respectively. In both, WOA09 and CONT maximum mixed layer depths are during March in the LS with values in excess of 1400 m.

To ensure that the transitions are not caused by any numerical or computational issues several runs branching from CONT were performed to test the reproducibility of the occurring transitions. The branch runs (DO_a-f in Table 1) were started using initial conditions from CONT at different points in time. The initial conditions are modified by a random $\mathscr{O}(\varepsilon)$ perturbation.

The conducted branch runs reproduce the climate transitions (2 warming (DO₋c,d) and 3 cooling 181 (DO_a,b and DO_F) transitions) even though the transitions in the branch runs occur at different 182 points in time and not always with the same amplitude as in CONT. The annual maximum sea ice 183 concentration in the LS of the original time series (black) and the branch runs (different colors) are 184 depicted in Figure 1. The reproducibility of the climate transitions gives us trust in our findings 185 beyond the lack of statistical analysis possibility. A 200-year extension (DO_X) of CONT was 186 conducted without any further transitions occurring, thus the second cold phase last at least 400 187 years. Possible reasons for the lack of transitions in DO₋X are discussed in Section 4. This and 188

the occurrence of the branch run transitions at different times suggest that a stochastic process triggers a switch between two co-existing climate states. Furthermore the different durations of the cold phases points to the fact that no particular build up time for any kind of reservoir (e.g., warm subsurface water) is involved.

To test the proposed role of the stochastic atmospheric trigger, we conducted several ocean-ice 193 (OI) simulations with different atmospheric forcings 1). For this purpose a part of CONT 194 The OI experiments were was rerun, saving the atmospheric fluxes at 3 hour intervals. 195 started from HI initial conditions and were forced with annually repeating atmospheric fluxes 196 from DO_F (July to June). The different starting years and the forcing year from DO_F are 197 listed in Table 1. We chose starting years preceding a rapid sea ice increase in DO_F for 198 experiments with transitions from a warm to a cold NA phase (OI_a, OI_b-d). Year 305/306 in 199 DO_F preceds a rapid sea ice decrease and was utilised to reproduce the warming transition (OI_f). 200

201

202 3. Results

203 a. Sequence of events

The pre-industrial control simulation analyzed here features abrupt surface temperature changes in Greenland and in the whole northern hemisphere. In the entire simulation three transitions between warm and cold NA phases occur (Figure 2, upper panel). The annual mean cooling in Greenland is approximately 3 °*C* (averaged over Greenland (55 to 15°*W* and 65 to 80°*N*)) over the course of about 100 years followed by an abrupt warming over a decade. At the south-west coast of Greenland temperature changes are more than three times that value. Cooling and warming are more pronounced during winter months. The global surface temperature signature of the cold NA

phase is depicted in Figure 3. The strong warm anomaly off the coast of New Foundland is due 211 to a contraction of the SPG (described in more detail in Section 3 b) and the resulting northward 212 migration of the Gulf Stream. The opposite sign anomalies in the Southern Ocean and parts of 213 Antarctica are small in amplitude (about 0.5 to 1 $^{\circ}C$) compared to the changes around Greenland. 214 This anti-correlation is suggestive of the "bipolar seesaw" (Stocker and Johnsen 2003), but is not 215 analyzed here because of the small signal-to-noise ratio. The tropical temperature signal of the 216 climate transitions is also small in amplitude compared to the high northern latitude changes, but 217 has the same sign. Tropical Pacific precipitation changes associated with the cold NA phase fea-218 ture a dipole pattern that corresponds to a precipitation decrease in the western Pacific warm pool 219 (WPWP) and a simultaneous increase in the eastern tropical Pacific. This is consistent with paleo-220 reconstructions that connect Greenland stadials with decreased precipitation over the WPWP and 221 dominant El Niño-like conditions (Stott et al. (2002), based on magnesium/calcium composition 222 and $\delta^{18}O$ of planctonic foraminifera). 223

The onset of the events based on Greenland temperature are years 321, 590 and 719 (e.g., Figure 2, 224 black lines). In the following subsection we focus only on the first cooling event (year 321). The 225 sequence of events leading to the abrupt warming (year 590) is briefly documented in Section 3 c. 226 Section 3 b describes the dynamics of the climate transition based on the following sequence of 227 events. A stochastic atmospheric circulation anomaly, resembling a strong negative North Atlantic 228 Oscillation (NAO) phase is forcing a circulation anomaly in the SPG (Section 3 b 1). The SPG 229 switches to a weak circulation mode due to a salinity driven positive feedback loop, shutting down 230 deep water convection in the LS, and weakening the AMOC. Associated with weaker circulation 231 mode is a colder surface subpolar ocean (Section 3 b 2). As a consequence sea ice concentration 232 increases in the LS, which in turn causes a reorganisation of the atmosphere and a drop in Green-233 land temperature (Section 3 b 3). 234

The atmospheric changes over the NA can thus be separated into two parts. An initial trigger that resembles a negative NAO phase, weakening of the SPG circulation and an increasing sea ice cover, and a positive sea-ice - sea level pressure feedback , an anticyclonic anomaly that persists for about 200 years, and sustains the anomalous atmospheric forcing of the ocean.

239 b. Dynamical changes

240 1) ATMOSPHERIC TRIGGER

In the beginning (year 310 to 315, upper left panel in Figure 4) an anticyclonic SLP anomaly 241 evolves, centered between Greenland and north western Europe and resembling a negative NAO 242 phase. The anticyclonic anomaly moves south westward and decays over the next 5 years (upper 243 right). The NAO is known to drive the dominant part of NA - in particular the LS - ocean heat 244 transport variability, through changes in wind stress and buoyancy forcing (e.g., Eden and Wille-245 brand (2001)). The total surface heat flux over the LS weakens at this point by about 14 Wm^{-2} 246 (second column in Table 2) as expected from a negative NAO phase (i.e., less heat loss of the LS 247 to the atmosphere). The surface heat flux over the entire SPG region decreases as well, though 248 the amplitude is small. At about the same time the wind stress curl north of the zero wind-curl 249 line reduces (Figure 5, upper left and right). The maximum changes are located above the central 250 and eastern SPG where they account for a 30 to 50 % reduction compared to the long term mean. 251 Furthermore the zero wind-curl line shifts farther north, contributing to a contraction of the SPG. 252 To estimate the importance of the changed wind stress curl in forcing the anomalous SPG circu-253 lation the Sverdrup transport was calculated according to Sverdrup theory (e.g., Pedlosky (1996)). 254 The Sverdrup transport in the LS and the north-western NA (averaged from 53° to $61^{\circ}N$ and 59° 255 to $45^{\circ}W$) is compared to the actual circulation changes in this region and the entire SPG (Figure 256 6). The Sverdrup transport reproduces the mean and the anomalous SPG circulation well. 257

258 2) OCEAN AND SEA ICE RESPONSE

The decreased wind stress curl forcing causes a first weakening of the SPG circulation (Figure 6). 259 This weakening initiates a positive feedback loop in the SPG leading to the large ocean temperature 260 (color) and circulation (contours) response depicted in Figure 7. The difference in the strength of 261 the circulation in the core region of the SPG accounts for about 10 Sv, a 30 % reduction. At the 262 southern edge the circulation changes up to 20 Sv due to a northward shift of the Gulf stream path. 263 Panel b of Figure 8 shows the density-depth evolution in the LS. The density in the upper LS starts 264 to decrease immediately after the anomalous gyre circulation sets in due to a drop in salinity of 265 1.6 *psu* (compare to differences in Figure 9 a and b) The salinity content in the LS changes mainly 266 due to changes in advection (through the southern and eastern face of the box) and diffusion of 267 salt (Table 2). A decrease of salinity is seen in the entire water column of the LS (from 65 $^{\circ}$ to 268 $55^{\circ}W$ in Figure 9) but with lower amplitude than at the surface. There is a small warm anomaly 269 $(0.1 \text{ to } 0.3 \text{ }^{\circ}C)$ in the LS in the intermediate and deeper ocean during the cold phases. However, 270 the warm anomaly never becomes strong enough to destabilize the water column and thus can not 271 trigger the onset of the warm phase, as described by Dokken et al. (2013) for the Nordic Seas. The 272 intermediate depth warming is more pronounced in lower latitudes (up to 45 $^{\circ}N$). 273

The decrease of salinity in the LS and the entire SPG is a direct consequence of the slowdown of the SPG circulation. This can be inferred from the large changes in salinity advection (Table 2) and was previously shown by Born et al. (2013b). The authors show that salt transport in the Irminger current increases with a stronger circulation of the SPG, independent of possible negative salinity anomalies in the source region of the Irminger current. They find that the enhanced volume transport overcompensates possible low salinity anomalies in the source region by about two orders of magnitude. In reverse that implies a decreased salinity transport towards the LS and central

²⁸¹ parts of the SPG during periods of decreased gyre circulation. While increased salinity advection
 ²⁸² causes long distance salinity transports from the eastern (more saline) basin of the SPG, the actual
 ²⁸³ convergence of salinity in the LS and thus in the deep water convection area occurs due to subgrid,
 ²⁸⁴ parameterised processes, i.e., eddy fluxes (Born et al. 2013b).

As a result of the decreased surface density the water column in the LS is stably stratified and 285 deep water convection weakens (upper panel in Figure 8) and eventually shuts down completely. 286 Jochum et al. (2012) also find an increased sea ice cover weakens the SPG circulation through 287 insulating it from wind stress forcing. Slightly increased MLD south of Iceland indicate a minor 288 compensation of deep water formation at this location during the cold phase. This is caused by 289 a warm temperature anomaly at a depth of $\sim 1300 \ m$ (not shown). The decrease in deep water 290 convection in the LS causes a slow down of the AMOC (max. AMOC located around a depth of 291 880 m and between 35° and $40^{\circ}N$, Figure 8). The reduced horizontal density gradient between the 292 center and the boundary current (Figure 8,b) weaken the gyre circulation further, thus closing the 293 positive feedback loop (Born and Stocker (2014) and Born et al. (2013a)). 294

The changed surface heat fluxes lead to a cooling of the atmosphere, but imply a warming of the LS. The simulated cooling of the LS is a consequence of reduced convection and advection of temperature (Table 2). In contrast to the LS the heat budget in the SPG-box is dominated by changes in temperature advection, due to a decrease of heat advected into the box through the south and from below, partly balanced by a decrease in heat advected through the north face). Diffusion and surface fluxes counteract the cooling tendency of the decreased advection, but are smaller in magnitude.

As a consequence of the colder ocean temperatures sea ice growth commences in the LS. Annual maximum sea ice concentration, in average, increases here by about 100 % relative to its pretransition state equivalent to about 30 % increased sea ice concentration (Figure 2, lower panel).

The difference in horizontal extent of annual maximum sea ice concentration between the cold and 305 warm NA phases is depicted in Figure 10. The changes are largest in the LS (up to a difference of 306 90 % sea ice coverage) and stretch from there with lower amplitude along the south eastern coast 307 of Greenland, north of Iceland towards Svalbard and until the northern coast of Norway. A similar 308 spatial pattern of sea ice increase is observed at the north-eastern coast of Asia (not shown) though 309 smaller in amplitude. The sea ice edge progresses farther south and east in the Nordic Seas, the 310 north Pacific and the LS as indicated by the white and black contours in Figure 10. The transition 311 in LS sea ice concentration takes about 80 years from warm to cold (year 321 and 719) and about 312 20 years from cold to warm (year 590, compare Figure 2, lower panel). Both sea ice growth and 313 retreat start in the LS region, before spreading to the other regions. 314

In the Nordic Seas the surface layer is fresher and colder during the cold NA phase. However, the warmer subsurface Atlantic inflow becomes colder and less saline too and a strong halocline is always sustained. Changes in thermohaline structure around Iceland (the Nordic Seas) occur about 10 (30) years after the decrease in Greenland temperature sets in and reflect thus most likely the changed ocean circulation.

320 3) ATMOSPHERE RESPONSE

The reduced heat fluxes (about 70 Wm^{-2} , last column in Table 2) above the LS (i.e., cooling of the atmosphere) are mainly a result of increased sea ice cover in the LS. They force a cold core high pressure anomaly, which sustains the anomalous forcing of the weakened gyre circulation. This atmospheric response starts to become apparent from year 320 to 325 onwards (Figure 4, lower left). The anomaly strengthens in amplitude south-west of Greenland above the LS and extends, with reduced amplitude, far above the Asian continent. It persits for about 200 years (lower panel in Figure 4) with an average spatial maximum of 2.8 *hPa* above the LS. Associated with this is also a persistent decrease in wind stress curl (lower panels Figure 5). The largest changes occur west off Greenland where the wind stress curl reduces to about 70 % of its original magnitude (year 50 to 250) and to about 60 % of its original magnitude above the central part of the SPG.

This response of atmospheric circulation to sea ice anomalies was demonstrated by Deser et al. 332 (2007) on shorter timescales, i.e., over one winter/spring season. This is a directly forced baro-333 clinic response and opposite in sign to the equivalent barotropic response to positive (negative) 334 SST anomalies which is invoked to explain the evolution of negative (positive) NAO-phases 335 (e.g., Farneti and Vallis (2011)). The equivalent barotropic response is fully established after 336 2-2.5 months (Deser et al. 2007) and dominates the overall response. However, in our case the 337 anomalous ocean forcing of the anticyclonic anomaly persists during the entire cold phase, due to 338 the involved ocean circulation changes. 339

Eventually the sea ice concentration changes cause the drop in Greenland temperature by insulating the atmosphere from the ocean heat reservoir as suggested by Li et al. (2005) and Li et al. (2010).

Above we identified the key changes associated with the climate transition, here we support 343 the suggested sequence of changes based on a lead-lag correlation of key variables with SPG 344 circulation (Figure 11). Changes in atmospheric parameters associated with the trigger are leading 345 changes in the SPG circulation by about three to five years, that are heat flux changes in the LS 346 (blue), SLP south-east of Greenland (not shown) and the Sverdrup transport (cyan) in the northern 347 SPG and LS region. This time scale is in excellent agreement with the suggested time lag of 348 3 years after that the SPG strength reduces in response to wind stress curl changes associated 349 with a negative NAO (Eden and Jung 2001). Greenland temperature (black) and sea ice (red) 350 concentration changes occur with a lag of about 5 years. As pointed out previously all changes in 351

the salinity budget occur after changes in the heat budget (Table 2) of the LS and the SPG and are thus not included here.

354

355 c. Warming event

For the warming event the timing of the changing parameters becomes less apparent from a 356 correlation analysis and is thus defined in terms of their standard deviation. The SLP anomaly 357 decreases for the first time around year 500 but remains then for an other \sim 50 years in a slightly 358 lower but stable state and decreases continuously from around year 550. The anticyclonic SLP 359 anomaly located at the southern tip of Greenland starts to weaken and simultaneously a cyclonic 360 SLP evolves, centered off northern Norway. From here the cyclonic center moves to the central 361 Arctic Ocean around year 580, slowly displacing the anticyclonic SLP anomaly at the southern 362 tip of Greenland. This SLP anomaly resembles closely the anomaly that evolved beside the an-363 ticyclone above Greenland in DO_a,b (green and red curve in Figure 1) and appeared to hinder 364 the full amplitude of changes to evolve in DO_a,b. Finally SLP drops abruptly to its pre-event 365 value between year 595 and 600. The surface heat fluxes in the LS and SPG change around year 366 580 and 570 respectively, while the Sverdrup transport features to high interannual variability to 367 determine smaller trends previous to a sudden jump back to the pre-cooling state at around year 368 595. The SPG gyre circulation changes around year 577 and sea ice concentration and Greenland 369 temperature follow at years 580 and 590, respectively. It appears that the warming event starts 370 in the SPG, while the cooling event starts in the LS. However the method used to determine the 371 starting point of the transition is not precise enough to conclude a different mechanism from this, 372 given the short time lags. In general the warming and the cooling event feature the same sequence 373 of events that is atmospheric circulation changes (SLP, surface heat flux and wind stress (only 374

determinable for the cooling transition)) followed by SPG circulation changes and eventually a change in sea ice concentration in the LS followed by changes in Greenland temperature. This raises the question why the transitions, at least in Greenland temperature, feature an asymmetric pattern. This question is not addressed in detail but possible causes are discussed in Section4.

d. Dependence on the climatic background state - a comparison to the HI preindustrial control simulation

The question arises why such climate transitions are hardly simulated by state-of the art GCMs 381 (compare Section1). In the following section we thus address this question in terms of the de-382 pendence of the above described mechanism on the climatic background state -in particular the 383 differences of HI and CONT in the NA are compared. Both runs are set up with the same external 384 boundary conditions and only differ in the resolution of the atmosphere. However, the mean states 385 of ocean and atmosphere are different. The following numbers refer to a comparison between the 386 ocean and atmosphere state in the NA in a 50 year average directly previous to the first transition 387 and the same time period in HI. To begin with HI features a warmer SPG (about 0.5° to 2°) and 388 a more saline western SPG (about 0.3 to 0.6 *psu*) and is slightly less saline in the eastern SPG 389 (about 0.1 *psu*). This difference has already some implications for the proposed positive feed-390 back mechanism in the SPG. The effect of salinity anomalies on the density of water is higher for 391 colder temperatures. Thus in HI higher salinity advection anomalies would be necessary to initiate 392 the described positive feedback loop, including the shut-down of deep water formation in the LS. 393 Moreover the western SPG is already less saline in CONT and thus a smaller salinity advection 394 anomaly can already cause the stabilization of the LS water column. Secondly the circulation in 395 the SPG is weaker in CONT and is thus again in favoring conditions for a switch to a weak circu-396 lation mode. Furthermore the atmospheric mean state over the NA is distinctly different in both 397

simulations as Figure 12 reveals. The SLP distribution between Greenland and Iceland shows 398 that the Icelandic low is more pronounced in HI, that is a lower mean SLP of about 2 hPa. A 399 smaller SLP anomaly in CONT thus weakens the Icelandic low sufficiently to trigger the above 400 described ocean circulation changes. Compared to NCEP reanalysis data (Kalnay et al. 1996) from 401 1948 until 2014, HI seems to overestimate and CONT to underestimate the Icelandic low (HI and 402 CONT are pre-industrial control simulations). However, the spread of both distributions seems to 403 be realistic. As we hope to infer from the above described abrupt climate transitions about glacial 404 millenial scale variability the SLP distribution of the same region is compared to the one from a 405 LGM simulation (simulation described by Brady et al. (2013)). During the LGM the Icelandic low 406 is distinctly weaker than even in CONT. Lastly CONT features stronger ENSO variability than HI 407 and present-day observations (Shields et al. (2012) Fig. 17). 408

⁴⁰⁹ e. Ocean-sea ice experiments with anomalous atmospheric forcing - testing the trigger

To test the above proposed mechanism and to infer more details about the atmospheric circula-410 tion anomaly and the relative importance of buoyancy and wind stress forcing of the SPG circula-411 tion anomaly, several OI simulations are conducted (Section 2). In Figure 13 the annual maximum 412 sea ice concentration in the LS is depicted as an indicator of the climate transitions. OI_b-d and 413 OI_a feature rapid increasing sea ice concentration (red, magenta, red with crosses and green with 414 crosses). OI_e,f show a decrease in sea ice concentration (black and black with crosses) where the 415 later one was started from ocean sea ice conditions of the red curve experiment (i.e., from a high 416 sea ice concentration state). 417

For the longest experiment (red curve, OI_b) the circulation change of the SPG and the MLD in the LS between the 5 first and last years of the simulation were compared. The MLD in the LS decreases by about 240 *m* and the circulation changes in the core region of the SPG account for about $_{421}$ 6 *Sv* - a value similar to the 10 *Sv* in CONT. Thus the experiments seem not only to reproduce the $_{422}$ changes in sea ice concentration, but as well the ocean circulation changes.

423 **4. Discussion**

Based on the scenario above, three topics deserve further attention:

⁴²⁵ 1. Stochastic forcing

- 426 2. Tropical-extratropical atmospheric connections
- 427 3. Dependence on background climate state

428 1) STOCHASTIC FORCING

We tested the proposed mechanism by forcing OI-experiments with atmospheric fluxes ex-429 tracted from CONT and starting from ocean and sea ice conditions of HI. That these simulations 430 reproduce not only the same changing sea ice concentration but also the same changes in ocean 431 circulation gives us confidence in the aforementioned mechanism. This sensitivity to stochastic 432 forcing raises the question of what forces the SPG in the real world? Unfortunately there is 433 still no consensus, as reflected in ongoing discussions on whether buoyancy (e.g., Yeager and 434 Danabasoglu (2014) and Eden and Jung (2001)) or wind forcing (e.g., Eden and Willebrand 435 (2001), Häkkinen et al. (2011)) dominates the strength of the SPG circulation. This depends as 436 well on the considered timescales, with a faster response time to altered wind stress forcing. 437

Altered atmospheric circulation during the last glacial period is expected due to changed ice sheets - in particular the Laurentide ice sheet. This presence causes principal rearrangements in the steady atmospheric circulation pattern above the NA and could thus also explain the absence of D-O events during the Holocene (compare e.g., Wunsch (2006)). A recent study by Zhang et al. (2014) demonstrates that small variations in height of the Laurentide Ice sheet can cause a shift between two co-existing glacial ocean circulation regimes. They find that this is due to
a positive ocean-atmosphere-sea ice feedback similar to the one described herein. We showed
additionally that the distribution of SLP above the NA in CONT is biased towards the state of a
LGM simulation of the same model, compared to present day observational values and HI.

Furthermore there is evidence from observations that atmospheric rearrangements occur previous 447 to Greenland temperature changes. Steffensen et al. (2008) find by analysing deuterium excess 448 that Greenland precipitation sources change 1-3 years before Greenland air temperature. Change 449 of moisture source region implies an abrupt change of the local atmospheric circulation or the 450 opening of a new source (by e.g., changing from sea ice covered to open ocean). Both changes 451 are seen in CONT: we find atmospheric rearrangements over the NA previous to the abrupt 452 temperature change. In addition the sea ice cover changes in the LS lead the temperature signal 453 and thus establishing/removing a possible Greenland precipitation source. 454

An interesting aspect of the atmospheric forcing is that it might contribute to the sawtooth-shape 455 of the Greenland temperature signal. Deser et al. (2004) and Deser et al. (2007) find that the 456 amplitude of the SLP anomaly forced by anomalous sea ice cover (or SSTs) is nonlinear in 457 respect to the sign of the anomaly, i.e., decreased sea ice concentration (or warmer SSTs) cause a 458 cyclonic anomaly stronger in amplitude than the anticyclonic anomaly forced by increased sea ice 459 concentration (colder SSTs). Thus larger changes in sea ice cover and temperature are necessary 460 to build up the anticyclonic anomaly than decreasing it again by increasing temperatures and 461 decreasing sea ice cover. 462

464 2) TROPICAL- EXTRATROPICAL CONNECTIONS

The initial change in SLP is in the range of natural variability, thus no further trigger is needed 465 to explain the stochastic atmospheric anomaly over the NA to occur. However, Sardeshmukh et al. 466 (2000) and Palmer (1993) showed that unlike the mean of pressure distribution in the extratropics, 467 the probability of extreme events is strongly influenced by El Niño Southern Oscillation (ENSO) 468 variability. Therefore in the following section we discuss changes in temperature and precipitation 469 in the tropical Pacific and possible connections with the anomalous NA atmospheric circulation. 470 For all three transitions (warm to cool: year 321 and 719; cool to warm: first changes at year 550, 471 finally back to initial state around year 590) changes in tropical precipitation occur simultaneously 472 or previous to the changes in SLP over the NA (Figure 14). Teleconnections from tropics to 473 extratropics work virtually instantaneous, whereas a signal transferred inversely takes about two 474 to three years (Chiang and Bitz 2005). Two other strong increases in precipitation at around 475 year 350 and 890 are both followed by a weakening of the anticyclone and a temporary drop 476 in sea ice concentration (compare to Figure 2). Furthermore temperatures in the WPWP are 477 anomalously warm for about 20 to 30 years previously to the two cooling events. The shifts in 478 tropical atmospheric deep convection associated with SST changes generate planetary waves that 479 change global patterns of SLP (e.g., Sardeshmukh and Hoskins (1988)). It is difficult to associate 480 unambiguously particular sea level pressure changes with particular convection changes (Ting and 481 Sardeshmukh 1993), but the present SLP differences between cold and warm NA phases are quite 482 similar to pressure differences induced by El Niño teleconnections (e.g., Trenberth et al. (1998)): 483 in particular a weakening of the pressure difference between the Azores and Iceland. A modelling 484 study by Merkel et al. (2010) shows altered ENSO teleconnections during past glacial climates. 485 They demonstrate that teleconnections into the NA were strong during pre-industrial times 486

(not shown, but also true for CONT) and Greenland interstadials, while there were weak or no 487 teleconnections during the LGM, Heinrich stadial 1 and Greenland stadials (Figure 11 in Merkel 488 et al. (2010)). Furthermore different ENSO variability is expected with different orbital forcing 489 as also demonstrated by a modelling study of Timmermann et al. (2007). Paleo-reconstructions 490 showed that ENSO was at work over past glacial climates (e.g., Tudhope and Coauthors (2001)). 491 Whether the strength was weaker, stronger or not altered at all during past glacial climates is still 492 debated. Whether the suggested tropical changes are a plausible scenario for D-O events depends 493 thus upon better paleo-reconstructions of altered ENSO strength and variability and its relative 494 timing to Greenland ice cores. 495

496

⁴⁹⁷ 3) DEPENDENCE ON BACKGROUND CLIMATE STATE

As mentioned previously, no further transitions between NA cold and warm phases occur in a 498 200 year extension of this simulation, meaning that the last cold state last for at least 400 years. 499 This points towards a strong dependence on the climatic background state for the full chain of 500 aforementioned processes to evolve. The analyzed simulation has a warm bias in global average, 501 but a cold bias in the NA and drifts towards a colder state. The ocean loses heat at -0.09 Wm^{-2} 502 over the last 600 years (Shields et al. 2012). Furthermore the NA $(20^{\circ} - 70^{\circ}N)$ becomes more 503 saline at intermediate depth while the upper NA ($\leq 500 m$) becomes less saline, which has a 504 stabilising effect for the cold phase as stronger salinity anomalies are necessary for deep water 505 convection to resume. We discussed in Section 3 d the differences between HI and CONT and 506 how these differences promote the positive feedback loop in SPG circulation, salinity advection 507 and deep water convection intensity as well as the probability of the triggering SLP anomaly to 508 occur. CONT represents in several aspects a climate that is biased towards a glacial climate (e.g., 509

temperature of the SPG, SLP distribution above the NA (compare to Figure 12)).

The reproducibility of the transitions together with the fact that they occur at different points in 511 time compared to the original simulation indicate that no long term memory effects are necessary 512 for the abrupt transitions to occur. Hence it supports our hypothesis that rather quasi-stochastic 513 atmospheric forcing triggers a switch in a per se unstable ocean circulation regime, the strong 514 and weak SPG circulation modes. It would be thus very interesting to analyze a parameter space 515 (mainly of temperature and salinity) for which the SPG can flip. Born and Stocker (2014) show 516 that a simple 4-box model of the SPG is bistable. However, in reality and in a fully coupled 517 climate model the parameter space would be more complex (i.e., ocean-atmosphere and ocean-sea 518 ice feedbacks). How representative this simple model is for the real SPG remains unclear, but for 519 our argumentation it is sufficient that the SPG is sensitive to small perturbations. 520

521

522 **5.** Summary

D-O-like events are found in a free CCSM4 integration and analyzed. The climate transitions 523 are triggered by a stochastic change in SLP pattern over the NA. This state is associated with 524 a weakened wind stress curl over the SPG. Consequently the gyre circulation slows down and 525 advects less warm and saline subtropical waters to high latitudes, initiating a positive feedback 526 loop towards a persistent weaker state of the SPG circulation and deepwater convection in the LS. 527 Sea ice growth commences in the LS due to locally reduced warm water transport and decreased 528 ocean-atmosphere heat flux. The sea ice anomaly here allows for a cold core high to develop at 529 the south-western tip of Greenland and sustains the anomalous SLP pattern for about 200 years, 530 the entire cool NA phase. The decreased deep water convection leads furthermore to a reduced 531 AMOC of about 3 to 4 Sy and thus a further reduction in northward heat transport. The onset of the 532

warming is initiated by a stronger Icelandic low and thus by removing the anomalous atmospheric
forcing the SPG circulation recovers, deep water convection resumes, sea ice cover retreats and
Greenland temperature rises abruptly. The possible influence of tropical precipitation anomalies
on the NA atmospheric trigger is discussed.

The present coupling between SPG, sea-ice and Icelandic low has already been hypothesized by 537 Seager and Battisti (2007). The central role of the sea ice has already been discussed by Li et al. 538 (2005), though the mechanism causing a sudden sea ice retreat remains unclear. We have now 539 identified a cause for sea ice changes: stochastic atmospheric forcing. The initial trigger of the 540 transitions occurring herein and in Drijfhout et al. (2013) are in both cases stochastic atmospheric 541 circulation anomalies, additionally the anomalous state of the atmosphere during the cold event are 542 alike. However the mechanisms sustaining this persistent anomaly are different. While Drijfhout 543 et al. (2013) attributes the persistent anomaly to sea ice-atmosphere interactions later on amplified 544 by ocean circulation feedbacks (mostly AMOC), we find that the changed oceanic gyre circulation 545 plays a key role. Even though we see changes in thermohaline properties in the Nordic Seas and 546 the LS we find no evidence for the mechanism suggested by Dokken et al. (2013). 547

The present results are a promising starting point into the dynamics behind D-O events. To us it appears that their most critical and uncertain component is their sensitivity to the NA background state and the structure of atmospheric noise that is needed to trigger a switch in the SPG state. Thus, we plan to continue our work with three complementary approaches: Firstly, find observational constraints for the MIS3 period; secondly, perform more idealized GCM studies in which we can control background state and atmospheric noise; and thirdly, set up a full MIS3 simulation with CESM.

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exp	compset	а	atmosphere		starting year	starting from
		resolution forcing				
CONT	В	2°	-	1000	-	-
HI	В	1°	-	1300	-	-
LGM	В	1°	-	401	-	-
DO_F	G	2°	-	36	305	CONT
OI_a	G	-	DO_F year 332/333	11	311	HI
OI_b, _c, _d	G	-	DO_F year 312/313	30, 21, 13	299, 311, 321	HI
OI_e, _f	G	-	DO_F year 305/306	13	299, 327	HI, OLb
DO_a,_b	G	2°	-	102, 90	299, 321	CONT
DO_c,_d	G	2°	-	73, 51	561, 581	CONT
DO_e,_f	G	2°	-	51, 51	401, 899	CONT
DO_X	G	2°	-	198	1001	CONT

TABLE 1. Simulations analyzed and conducted for this study. The letter G and B in column compset refer
 to ocean-sea ice simulations and the fully coupled version of CESM, respectively. The setup of the different
 simulations is described in Section 2.

budget term			Labrad	lor Sea		
		year 270 -290	year 310-320	year 320-330	year 381-401	
advection	temperature $[Wm^{-2}]$	25.23	23.14	14.95	8.47	
advection	salt $\cdot 10^{-8}$ [kg \cdot m (kg \cdot s) ⁻¹]	10.43	10.45	9.21	7.84	
diffusion (incl. convection)	temperature $[Wm^{-2}]$	60	48.54	52.7	9.86	
unrusion (men. convection)	salt $\cdot 10^{-8}$ [kg \cdot m (kg $\cdot s$) ⁻¹]	-10.29	-10.33	-9.06	-7.69	
surface flux	temperature $[Wm^{-2}]$	-86	-72.45	-69.3	-18.87	
	salt $\cdot 10^{-8}$ [kg \cdot m (kg \cdot s) ⁻¹]	-0.15	-0.14	-0.16	-0.15	
			year 315-335			
tendency	temperature $[Wm^{-2}]$		-2.2			
tendency	salt $\cdot 10^{-8}$ [kg \cdot m (kg \cdot s) ⁻¹]		-0.016			

TABLE 2. Heat and salinity budget (upper 280 *m*) of the Labrador Sea (53 $^{\circ}$ to 65 $^{\circ}N$ and 60 $^{\circ}$ to 45 $^{\circ}W$) for the pre-cooling phase (year 270-290) and a phase after the transition (year 381-401). Furthermore two values are given for the transition period (year 310-320 and 320-330). The tendency term is averaged over the transition period. Negative signs imply a cooling and freshening of the box.

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FIG. 13. Annual maximum sea ice concentration in various OI experiments in the Labrador Sea (averaged from about 50° to $67^{\circ}N$ and 63° to $40^{\circ}W$), representing the climate transition. Cyan (CONT), blue (HI) and green (DO_F) curves are showing the fully coupled simulations. The remaining curves show the OI experiments, using atmospheric fluxes of year 305/306 (OI_f (black) and OI_d (black with crosses)), year 312/313 (OI_b (red), OI_e (red with crosses) and OI_c (pink)) and year 332/333 (OI_a (green with crosses)) of DO_F as forcing. See Table 1 for experimental setup of simulations.



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